

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
18 May 2000 (18.05.2000)

PCT

(10) International Publication Number  
WO 00/27363 A1

(51) International Patent Classification<sup>1</sup>: A61K 9/14, 9/72

(21) International Application Number: PCT/US99/26799

(22) International Filing Date:  
12 November 1999 (12.11.1999)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/190,138 12 November 1998 (12.11.1998) US

(71) Applicant: NANOSYSTEMS [US/US]; 3000 Horizon  
Drive, King of Prussia, PA 19406 (US).

(72) Inventors: BOSCH, H., William; 237 Rodney Circle,  
Bryn Mawr, PA 19010 (US). OSTRANDER, Kevin, D.;  
227 Sunrise Road, Reading, PA 19606 (US). COOPER,  
Eugene, R.; 2621 Crum Creek Drive, Berwyn, PA 19312  
(US).

(74) Agents: SCHAFER, Michele, M. et al.; Foley & Lardner,  
Suite 500, 3000 K Street, NW, Washington, DC 20007-  
5109 (US).

(81) Designated States (national): AE, AL, AM, AT, AU, AZ,  
BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE,

ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP,  
KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD,  
MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD,  
SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN,  
YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent  
(AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent  
(AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU,  
MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- With international search report.
- With amended claims.

Date of publication of the amended claims: 13 July 2000

(48) Date of publication of this corrected version:  
30 November 2000

(15) Information about Correction:  
see PCT Gazette No. 48/2000 of 30 November 2000, Sec-  
tion II

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: AEROSOLS COMPRISING NANOPARTICLE DRUGS

(57) Abstract: The invention discloses aqueous dispersions of nanoparticulate aerosol formulations, dry powder nanoparticulate aerosol formulation, propellant-based aerosol formulations, methods of using the formulations in aerosol delivery devices, and methods of making such formulations. The nanoparticles of the aqueous dispersions or dry powder formulations comprise insoluble drug particles having a surface modifier on the surface thereof.



WO 00/27363 A1

## AEROSOLS COMPRISING NANOPARTICLE DRUGS

### FIELD OF THE INVENTION

The present invention is directed to aerosol formulations of nanoparticulate  
5 drug compositions, and methods of making and using such aerosol formulations.

### BACKGROUND OF THE INVENTION

The route of administration of a drug substance can be critical to its  
pharmacological effectiveness. Various routes of administration exist, and all have their  
10 own advantages and disadvantages. Oral drug delivery of tablets, capsules, liquids, and  
the like is the most convenient approach to drug delivery, but many drug compounds are  
not amenable to oral administration. For example, modern protein drugs which are  
unstable in the acidic gastric environment or which are rapidly degraded by proteolytic  
enzymes in the digestive tract are poor candidates for oral administration. Similarly,  
15 poorly soluble compounds which do not dissolve rapidly enough to be orally absorbed are  
likely to be ineffective when given as oral dosage forms. Oral administration can also be  
undesirable because drugs which are administered orally are generally distributed to all  
tissues in the body, and not just to the intended site of pharmacological activity.  
Alternative types of systemic administration are subcutaneous or intravenous injection.  
20 This approach avoids the gastrointestinal tract and therefore can be an effective route for  
delivery of proteins and peptides. However, these routes of administration have a low  
rate of patient compliance, especially for drugs such as insulin which must be  
administered one or more times daily. Additional alternative methods of drug delivery  
have been developed including transdermal, rectal, vaginal, intranasal, and pulmonary  
25 delivery.

Nasal drug delivery relies on inhalation of an aerosol through the nose so  
that active drug substance can reach the nasal mucosa. Drugs intended for systemic  
activity can be absorbed into the bloodstream because the nasal mucosa is highly  
vascularized. Alternatively, if the drug is intended to act topically, it is delivered directly  
30 to the site of activity and does not have to distribute throughout the body; hence,

relatively low doses may be used. Examples of such drugs are decongestants, antihistamines, and anti-inflammatory steroids for seasonal allergic rhinitis.

Pulmonary drug delivery relies on inhalation of an aerosol through the mouth and throat so that the drug substance can reach the lung. For systemically active drugs, it is desirable for the drug particles to reach the alveolar region of the lung, whereas drugs which act on the smooth muscle of the conducting airways should preferentially deposit in the bronchiole region. Such drugs can include beta-agonists, anticholinergics, and corticosteroids.

#### 10 Devices Used For Nasal and Pulmonary Drug Delivery

Drugs intended for intranasal delivery (systemic and local) can be administered as aqueous solutions or suspensions, as solutions or suspensions in halogenated hydrocarbon propellants (pressurized metered-dose inhalers), or as dry powders. Metered-dose spray pumps for aqueous formulations, pMDIs, and DPIs for nasal delivery, are available from, for example, Valois of America or Pfeiffer of America.

Drugs intended for pulmonary delivery can also be administered as aqueous formulations, as suspensions or solutions in halogenated hydrocarbon propellants, or as dry powders. Aqueous formulations must be aerosolized by liquid nebulizers employing either hydraulic or ultrasonic atomization, propellant-based systems require suitable pressurized metered-dose inhalers (pMDIs), and dry powders require dry powder inhaler devices (DPIs) which are capable of dispersing the drug substance effectively. For aqueous and other non-pressurized liquid systems, a variety of nebulizers (including small volume nebulizers) are available to aerosolize the formulations. Compressor-driven nebulizers incorporate jet technology and use compressed air to generate the liquid aerosol. Such devices are commercially available from, for example, Healthdyne Technologies, Inc.; Invacare, Inc.; Mountain Medical Equipment, Inc.; Pari Respiratory, Inc.; Mada Medical, Inc.; Puritan-Bennet; Schuco, Inc., DeVilbiss Health Care, Inc.; and Hospitak, Inc. Ultrasonic nebulizers rely on mechanical energy in the form of vibration of a piezoelectric crystal to generate respirable

liquid droplets and are commercially available from, for example, Omron Healthcare, Inc. and DeVilbiss Health Care, Inc.

A propellant driven inhaler (pMDI) releases a metered dose of medicine upon each actuation. The medicine is formulated as a suspension or solution of a drug substance in a suitable propellant such as a halogenated hydrocarbon. pMDIs are described in, for example, Newman, S. P., *Aerosols and the Lung*, Clarke et al., eds., pp. 197-224 (Butterworths, London, England, 1984).

Dry powder inhalers (DPIs), which involve deaggregation and aerosolization of dry powders, normally rely upon a burst of inspired air that is drawn through the unit to deliver a drug dosage. Such devices are described in, for example, U.S. Pat. No. 4,807,814, which is directed to a pneumatic powder ejector having a suction stage and an injection stage; SU 628930 (Abstract), describing a hand-held powder disperser having an axial air flow tube; Fox et al., *Powder and Bulk Engineering*, pages 33-36 (March 1988), describing a venturi eductor having an axial air inlet tube upstream of a venturi restriction; EP 347 779, describing a hand-held powder disperser having a collapsible expansion chamber; and U.S. Pat. No. 5,785,049, directed to dry powder delivery devices for drugs.

#### Droplet/Particle Size Determines Deposition Site

In developing a therapeutic aerosol, the aerodynamic size distribution of the inhaled particles is the single most important variable in defining the site of droplet or particle deposition in the patient; in short, it will determine whether drug targeting succeeds or fails. See P. Byron, "Aerosol Formulation, Generation, and Delivery Using Nonmetered Systems," *Respiratory Drug Delivery*, 144-151, 144 (CRC Press, 1989).

Thus, a prerequisite in developing a therapeutic aerosol is a preferential particle size. The deposition of inhaled aerosols involves different mechanisms for different size particles. D. Swift (1980); Parodi et al., "Airborne Particles and Their Pulmonary Deposition," in *Scientific Foundations of Respiratory Medicine*, Scaddings et al. (eds.), pp. 545-557

(W.B. Saunders, Philadelphia, 1981); J. Heyder, "Mechanism of Aerosol Particle Deposition," *Chest*, 80:820-823 (1981).

Generally, inhaled particles are subject to deposition by one of two mechanisms: impaction, which usually predominates for larger particles, and  
5 sedimentation, which is prevalent for smaller particles. Impaction occurs when the momentum of an inhaled particle is large enough that the particle does not follow the air stream and encounters a physiological surface. In contrast, sedimentation occurs primarily in the deep lung when very small particles which have traveled with the inhaled  
10 air stream encounter physiological surfaces as a result of random diffusion within the air stream. For intranasally administered drug compounds which are inhaled through the nose, it is desirable for the drug to impact directly on the nasal mucosa; thus, large (ca. 5 to 100  $\mu\text{m}$ ) particles or droplets are generally preferred for targeting of nasal delivery.

Pulmonary drug delivery is accomplished by inhalation of an aerosol through the mouth and throat. Particles having aerodynamic diameters of greater than  
15 about 5 microns generally do not reach the lung; instead, they tend to impact the back of the throat and are swallowed and possibly orally absorbed. Particles having diameters of about 2 to about 5 microns are small enough to reach the upper- to mid-pulmonary region (conducting airways), but are too large to reach the alveoli. Even smaller particles, *i.e.*, about 0.5 to about 2 microns, are capable of reaching the alveolar region. Particles having  
20 diameters smaller than about 0.5 microns can also be deposited in the alveolar region by sedimentation, although very small particles may be exhaled.

**Problems with Conventional Aerosol Compositions and Methods**

Conventional techniques are extremely inefficient in delivering agents to the lung for a variety of reasons. Prior to the present invention, attempts to develop respirable aqueous suspensions of poorly soluble drugs have been largely unsuccessful.

- 5 For example, it has been reported that ultrasonic nebulization of a suspension containing fluorescein and latex drug spheres, representing insoluble drug particles, resulted in only 1% aerosolization of the particles, while air-jet nebulization resulted in only a fraction of particles being aerosolized. Susan L. Tiano, "Functionality Testing Used to Rationally Assess Performance of a Model Respiratory Solution or Suspension in a Nebulizer,"
- 10 Dissertation Abstracts International, 56/12-B, pp. 6578 (1995). Another problem encountered with nebulization of liquid formulations prior to the present invention was the long (4-20 min) period of time required for administration of a therapeutic dose. Long administration times are required because conventional liquid formulations for nebulization are very dilute solutions or suspensions of micronized drug substance.
- 15 Prolonged administration times are undesirable because they lessen patient compliance and make it difficult to control the dose administered. Lastly, aerosol formulations of micronized drug are not feasible for deep lung delivery of insoluble compounds because the droplets needed to reach the alveolar region (0.5 to 2 microns) are too small to accommodate micronized drug crystals, which are typically 2-3 microns or more in
- 20 diameter.

- Conventional pMDIs are also inefficient in delivering drug substance to the lung. In most cases, pMDIs consist of suspensions of micronized drug substance in halogenated hydrocarbons such as chlorofluorocarbons (CFCs) or hydrofluoroalkanes (HFAs). Actuation of the pMDI results in delivery of a metered dose of drug and
- 25 propellant, both of which exit the device at high velocities because of the propellant pressures. The high velocity and momentum of the drug particles results in a high degree of oropharyngeal impaction as well as loss to the device used to deliver the agent. These losses lead to variability in therapeutic agent levels and poor therapeutic control. In addition, oropharyngeal deposition of drugs intended for topical administration to the

conducting airways (such as corticosteroids) can lead to systemic absorption with resultant undesirable side effects. Additionally, conventional micronization (air-jet milling) of pure drug substance can reduce the drug particle size to no less than about 2-3 microns. Thus, the micronized material typically used in pMDIs is inherently unsuitable for delivery to the alveolar region and is not expected to deposit below the central bronchiole region of the lung.

Prior to the present invention, delivery of dry powders to the lung typically used micronized drug substance. In the dry powder form, micronized substances tend to have substantial interparticle electrostatic attractive forces which prevent the powders from flowing smoothly and generally make them difficult to disperse. Thus, two key challenges to pulmonary delivery of dry powders are the ability of the device to accurately meter the intended dose and the ability of the device to fully disperse the micronized particles. For many devices and formulations, the extent of dispersion is dependent upon the patient's inspiration rate, which itself may be variable and can lead to a variability in the delivered dose.

Delivery of drugs to the nasal mucosa can also be accomplished with aqueous, propellant-based, or dry powder formulations. However, absorption of poorly soluble drugs can be problematic because of mucociliary clearance which transports deposited particles from the nasal mucosa to the throat where they are swallowed. Complete clearance generally occurs within about 15-20 minutes. Thus, poorly soluble drugs which do not dissolve within this time frame are unavailable for either local or systemic activity.

The development of aerosol drug delivery systems has been hampered by the inherent instability of aerosols, the difficulty of formulating dry powder and aqueous aerosols of water-insoluble drugs, and the difficulty of designing an optimal drug particle size for an aerosol drug delivery system. There is a need in the art for aerosols that deliver an optimal dosage of essentially insoluble drugs throughout the respiratory tract or nasal cavity. The present invention satisfies these needs.

## **SUMMARY OF THE INVENTION**

The present invention is directed to aqueous, propellant-based, and dry powder aerosols of nanoparticulate compositions, for pulmonary and nasal delivery, in which essentially every inhaled particle contains at least one nanoparticulate drug particle.

- 5 The drug is highly water-insoluble. Preferably, the nanoparticulate drug has an effective average particle size of about 1 micron or less. This invention is an improvement of the nanoparticulate aerosol formulations described in pending U.S. Application Serial No. 08/984,216, filed on October 9, 1997, for "Aerosols Containing Nanoparticulate Dispersions," specifically incorporated by reference. Non-aerosol preparations of
- 10 submicron sized water-insoluble drugs are described in U.S. Patent No. 5,145,684, specifically incorporated herein by reference.

### **A. Aqueous Aerosol Formulations**

- The present invention encompasses aqueous formulations containing nanoparticulate drug particles. For aqueous aerosol formulations, the drug may be present
- 15 at a concentration of about 0.05 mg/mL up to about 600 mg/mL. Such formulations provide effective delivery to appropriate areas of the lung or nasal cavities. In addition, the more concentrated aerosol formulations (*i.e.*, for aqueous aerosol formulations, about 10 mg/mL up to about 600 mg/mL) have the additional advantage of enabling large quantities of drug substance to be delivered to the lung in a very short period of time, *e.g.*,
- 20 about 1 to about 2 seconds (1 puff) as compared to the conventional 4-20 min. administration period.

### **B. Dry Powder Aerosol Formulations**

- Another embodiment of the invention is directed to dry powder aerosol
- 25 formulations comprising drug particles for pulmonary and nasal administration. Dry powders, which can be used in both DPIs and pMDIs, can be made by spray-drying aqueous nanoparticulate drug dispersions. Alternatively, dry powders containing nanoparticulate drug can be made by freeze-drying nanoparticulate drug dispersions.



Combinations of spray-dried and freeze-dried nanoparticulate drug powders can be used in DPIs and pMDIs. For dry powder aerosol formulations, the drug may be present at a concentration of about 0.05 mg/g up to about 990 mg/g. In addition, the more concentrated aerosol formulations (*i.e.*, for dry powder aerosol formulations about 10 mg/g up to about 990 mg/g) have the additional advantage of enabling large quantities of drug substance to be delivered to the lung in a very short period of time, *e.g.*, about 1 to about 2 seconds (1 puff).

### 1. Spray-Dried Powders Containing Nanoparticulate Drug

Powders comprising nanoparticulate drug can be made by spray-drying aqueous dispersions of a nanoparticulate drug and a surface modifier to form a dry powder which consists of aggregated drug nanoparticles. The aggregates can have a size of about 1 to about 2 microns which is suitable for deep lung delivery. The aggregate particle size can be increased to target alternative delivery sites, such as the upper bronchial region or nasal mucosa by increasing the concentration of drug in the spray-dried dispersion or by increasing the droplet size generated by the spray dryer.

Alternatively, the aqueous dispersion of drug and surface modifier can contain a dissolved diluent such as lactose or mannitol which, when spray dried, forms respirable diluent particles, each of which contains at least one embedded drug nanoparticle and surface modifier. The diluent particles with embedded drug can have a particle size of about 1 to about 2 microns, suitable for deep lung delivery. In addition, the diluent particle size can be increased to target alternate delivery sites, such as the upper bronchial region or nasal mucosa by increasing the concentration of dissolved diluent in the aqueous dispersion prior to spray drying, or by increasing the droplet size generated by the spray dryer.

Spray-dried powders can be used in DPIs or pMDIs, either alone or combined with freeze-dried nanoparticulate powder. In addition, spray-dried powders containing drug nanoparticles can be reconstituted and used in either jet or ultrasonic nebulizers to generate aqueous dispersions having respirable droplet sizes, where each

droplet contains at least one drug nanoparticle. Concentrated nanoparticulate dispersions may also be used in these aspects of the invention.

## 2. Freeze-Dried Powders Containing Nanoparticulate Drug

5 Nanoparticulate drug dispersions can also be freeze-dried to obtain powders suitable for nasal or pulmonary delivery. Such powders may contain aggregated nanoparticulate drug particles having a surface modifier. Such aggregates may have sizes within a respirable range, *i.e.*, about 2 to about 5 microns. Larger aggregate particle sizes can be obtained for targeting alternate delivery sites, such as the nasal mucosa.

10 Freeze dried powders of the appropriate particle size can also be obtained by freeze drying aqueous dispersions of drug and surface modifier, which additionally contain a dissolved diluent such as lactose or mannitol. In these instances the freeze dried powders consist of respirable particles of diluent, each of which contains at least one embedded drug nanoparticle.

15 Freeze-dried powders can be used in DPIs or pMDIs, either alone or combined with spray-dried nanoparticulate powder. In addition, freeze-dried powders containing drug nanoparticles can be reconstituted and used in either jet or ultrasonic nebulizers to generate aqueous dispersions having respirable droplet sizes, where each droplet contains at least one drug nanoparticle. Concentrated nanoparticulate dispersions  
20 may also be used in these aspects of the invention.

## C. Propellant-Based Formulations

Yet another embodiment of the invention is directed to a process and composition for propellant-based systems comprising nanoparticulate drug particles and a  
25 surface modifier. Such formulations may be prepared by wet milling the coarse drug substance and surface modifier in liquid propellant, either at ambient pressure or under high pressure conditions. Alternatively, dry powders containing drug nanoparticles may be prepared by spray-drying or freeze-drying aqueous dispersions of drug nanoparticles and the resultant powders dispersed into suitable propellants for use in conventional

pMDIs. Such nanoparticulate pMDI formulations can be used for either nasal or pulmonary delivery. For pulmonary administration, such formulations afford increased delivery to the deep lung regions because of the small (*i.e.*, about 1 to about 2 microns) particle sizes available from these methods. Concentrated aerosol formulations can also  
5 be employed in pMDIs.

#### **D. Methods of Making Aerosol Formulations**

The invention also provides methods for making an aerosol of nanoparticulate compositions. The nanoparticulate dispersions used in making aqueous  
10 aerosol compositions can be made by wet milling or by precipitation methods known in the art. Dry powders containing drug nanoparticles can be made by spray drying or freeze-drying aqueous dispersions of drug nanoparticles. The dispersions used in these systems may or may not contain dissolved diluent material prior to drying. Additionally, both pressurized and non-pressurized milling operations can be employed to make  
15 nanoparticulate drug compositions in non-aqueous systems.

In a non-aqueous, non-pressurized milling system, a non-aqueous liquid which has a vapor pressure of 1 atm or less at room temperature is used as a milling medium and may be evaporated to yield dry nanoparticulate drug and surface modifier. The non-aqueous liquid may be, for example, a high-boiling halogenated hydrocarbon.  
20 The dry nanoparticulate drug composition thus produced may then be mixed with a suitable propellant or propellants and used in a conventional pMDI.

Alternatively, in a pressurized milling operation, a non-aqueous liquid which has a vapor pressure  $> 1$  atm at room temperature is used as a milling medium for making a nanoparticulate drug and surface modifier composition. Such a liquid may be,  
25 for example, a halogenated hydrocarbon propellant which has a low boiling point. The resultant nanoparticulate composition can then be used in a conventional pMDI without further modification, or can be blended with other suitable propellants. Concentrated aerosols may also be made via such methods.

### E. Methods of Using Nanoparticulate Aerosol Formulations

In yet another aspect of the invention, there is provided a method of treating a mammal comprising: (1) forming an aerosol of a dispersion (either aqueous or powder) of nanoparticles, wherein the nanoparticles comprise an insoluble drug having a surface modifier on the surface thereof, and (2) administering the aerosol to the pulmonary or nasal cavities of the mammal. Concentrated aerosol formulations may also be used in such methods.

Another embodiment of the invention provides a method of diagnosing a mammal comprising: (1) forming an aerosol of a dispersion (either aqueous or dry) of nanoparticles, wherein the nanoparticles comprise an insoluble diagnostic agent having a surface modifier; (2) administering the aerosol to the pulmonary or nasal cavities of the mammal; and (3) imaging the diagnostic agent in the pulmonary or nasal system. Concentrated aerosol formulations can also be employed in such diagnostic methods.

Both the foregoing general description and the following detailed description are exemplary and explanatory and are intended to provide further explanation of the invention as claimed. Other objects, advantages, and novel features will be readily apparent to those skilled in the art from the following detailed description of the invention.

### BRIEF DESCRIPTION OF THE FIGURES

- Figure 1: Shows an *in vitro* deposition pattern of a concentrated aerosolized beclomethasone dipropionate dispersion from an ultrasonic nebulizer.
- Figure 2: Shows an *in vitro* deposition pattern of a concentrated aerosolized beclomethasone dipropionate dispersion from a jet nebulizer.
- Figure 3: Shows the aerodynamic volume distribution diameter of a spray-dried naproxen aerosol (2% (w/w) naproxen).
- Figure 4: Shows a scanning electron micrograph of spray-dried naproxen aerosol particles (aggregated naproxen/polyvinylpyrrolidone (surface modifier))

nanoparticles, demonstrating the overall uniformity of size and the spherical nature of the particles.

Figure 5: Shows the aerodynamic volume distribution diameter of a spray-dried naproxen aerosol (5% (w/w) naproxen).

5 Figure 6: Shows the aerodynamic volume distribution diameter of a spray-dried triamcinolone acetonide (TA) aerosol (10% (w/w) TA).

Figure 7: Shows two photomicrographs: Fig. 8(A) shows spray-dried nanoparticulate budesonide particles, and Fig. 8(B) shows particles of micronized budesonide.

10 Figure 8: Shows the particle size distribution (by volume) of a reconstituted freeze-dried anti-emetic aerosol containing dextrose diluent.

Figure 9: Shows the particle size distribution of a reconstituted freeze-dried anti-emetic aerosol containing mannitol diluent.

15 Figure 10: Shows a scanning electron micrograph of nanoparticulate TA milled in a non-pressurized propellant system.

## **DETAILED DESCRIPTION OF THE INVENTION**

### **A. Aerosol Formulations**

20 The compositions of the invention are aerosols which contain drug nanoparticles. Aerosols can be defined as colloidal systems consisting of very finely divided liquid droplets or dry particles dispersed in and surrounded by a gas. Both liquid and dry powder aerosol compositions are encompassed by the invention.

### 1. Nanoparticulate Drug and Surface Modifier Particle Size

Preferably, the compositions of the invention contain nanoparticles which have an effective average particle size of less than about 1000 nm, more preferably less than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, or less than about 50 nm, as measured by light-scattering methods. By "an effective average particle size of less than about 1000 nm" it is meant that at least 50% of the drug particles have a weight average particle size of less than about 1000 nm when measured by light scattering techniques. Preferably, at least 70% of the drug particles have an average particle size of less than about 1000 nm, more preferably at least 90% of the drug particles have an average particle size of less than about 1000 nm, and even more preferably at least about 95% of the particles have a weight average particle size of less than about 1000 nm.

### 2. Concentration of Nanoparticulate Drug

For aqueous aerosol formulations, the nanoparticulate agent is present at a concentration of about 0.05 mg/mL up to about 600 mg/mL. For dry powder aerosol formulations, the nanoparticulate agent is present at a concentration of about 0.05 mg/g up to about 990 mg/g, depending on the desired drug dosage. Concentrated nanoparticulate aerosols, defined as containing a nanoparticulate drug at a concentration of about 10 mg/mL up to about 600 mg/mL for aqueous aerosol formulations, and about 10 mg/g up to about 990 mg/g for dry powder aerosol formulations, are specifically encompassed by the present invention. Such formulations provide effective delivery to appropriate areas of the lung or nasal cavities in short administration times, *i.e.*, less than about 15 seconds as compared to administration times of up to 4 to 20 minutes as found in conventional pulmonary nebulizer therapies.

### 3. *In Vivo* Deposition of Inhaled Aerosols

Aerosols intended for delivery to the nasal mucosa are inhaled through the nose. For optimal delivery to the nasal cavities, inhaled particle sizes of about 5 to about 100 microns are useful, with particle sizes of about 30 to about 60 microns being

preferred. For nasal delivery, a larger inhaled particle size is desired to maximize  
impaction on the nasal mucosa and to minimize or prevent pulmonary deposition of the  
administered formulation. Inhaled particles may be defined as liquid droplets containing  
dissolved drug, liquid droplets containing suspended drug particles (in cases where the  
5 drug is insoluble in the suspending medium), dry particles of pure drug substance,  
aggregates of drug nanoparticles, or dry particles of a diluent which contain embedded  
drug nanoparticles.

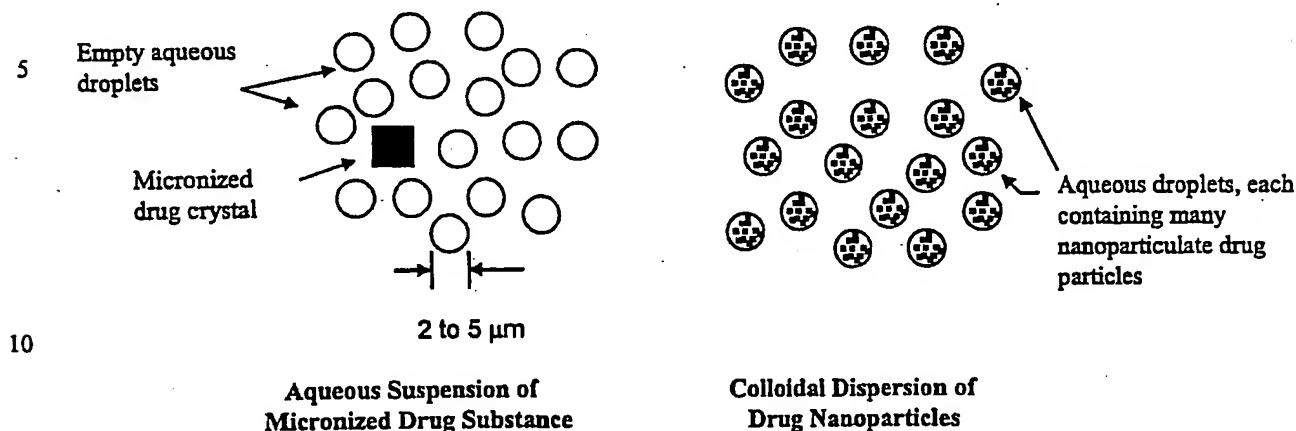
For delivery to the upper respiratory region, inhaled particle sizes of about  
2 to about 10 microns are preferred, more preferred is about 2 to about 6 microns.

10 Delivery to the upper respiratory region may be desirable for drugs such as  
bronchodilators or corticosteroids that are to act locally. This is because drug particles  
deposited in the upper respiratory tract can dissolve and act on the smooth muscle of the  
airway, rather than being absorbed into the bloodstream of the patient. However, the goal  
for some inhaled drugs is systemic delivery, such as in cases of proteins or peptides which  
15 are not amenable to oral administration. It is preferred that drugs intended for systemic  
administration be delivered to the alveolar region of the lung, because 99.99% of the  
available surface area for drug absorption is located in the peripheral alveoli. Thus, with  
administration to the alveolar region, rapid absorption can be realized. For delivery to the  
deep lung (alveolar) region, inhaled particle sizes of less than about 2 microns are  
20 preferred.

#### 4. Aqueous Aerosols

Aqueous formulations of the present invention consist of colloidal  
dispersions of water-insoluble nanoparticulate drug in an aqueous vehicle which are  
aerosolized using air-jet or ultrasonic nebulizers. The advantages of the present invention  
25 can best be understood by comparing the sizes of nanoparticulate and conventional  
micronized drug particles with the sizes of liquid droplets produced by conventional  
nebulizers. Conventional micronized material is generally about 2 to about 5 microns or  
more in diameter and is approximately the same size as the liquid droplet size produced  
by medical nebulizers. In contrast, nanoparticulate drug particles are substantially smaller

than the droplets in such an aerosol. Thus, aerosols containing nanoparticulate drug particles improve drug delivery efficiency because they contain a higher number of drug particles per unit dose such that each aerosolized droplet contains active drug substance.



Thus, with administration of the same dosages of nanoparticulate and micronized drug, more lung or nasal cavity surface area is covered by the aerosol formulation containing nanoparticulate drug.

Another advantage of the present invention is that it permits water-insoluble drug compounds to be delivered to the deep lung via nebulization of aqueous formulations. Conventional micronized drug substance is too large to reach the peripheral lung regardless of the size of the droplet produced by the nebulizer, but the present invention permits nebulizers which generate very small (about 0.5 to about 2 microns) aqueous droplets to deliver water-insoluble drugs in the form of nanoparticles to the alveoli. One example of such devices is the Circulaire® (Westmed Corp., Tucson, AZ).

Yet another advantage of the present invention is that ultrasonic nebulizers can be used to deliver water-insoluble drugs to the lung. Unlike conventional micronized material, nanoparticulate drug particles are readily aerosolized and show good *in vitro* deposition characteristics. A specific advantage of the present invention is that it permits water-insoluble drugs to be aerosolized by ultrasonic nebulizers which require the drug substance to pass through very fine orifices to control the size of the aerosolized droplets. While conventional drug material would be expected to occlude the pores, nanoparticulate drug particles are much smaller and can pass through the pores without difficulty.



Another advantage of the present invention is the enhanced rate of dissolution of water-insoluble drugs. Since dissolution rate is a function of the total surface area of drug substance to be dissolved, more finely divided drug particles (*e.g.*, nanoparticles) have much faster dissolution rates than conventional micronized drug particles. This can result in more rapid absorption of inhaled drugs. For nasally administered drugs it can result in more complete absorption of the dose, since with a nanoparticulate drug dose the particles can dissolve rapidly and completely before being cleared via the mucociliary mechanism.

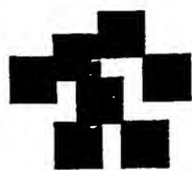
## 5. Dry Powder Aerosol Formulations

The invention is also directed to dry powders which contain nanoparticulate compositions for pulmonary or nasal delivery. The powders may consist of respirable aggregates of nanoparticulate drug particles, or of respirable particles of a diluent which contains at least one embedded drug nanoparticle. Powders containing nanoparticulate drug particles can be prepared from aqueous dispersions of nanoparticles by removing the water via spray-drying or lyophilization (freeze drying). Spray-drying is less time consuming and less expensive than freeze-drying, and therefore more cost-effective. However, certain drugs, such as biologicals benefit from lyophilization rather than spray-drying in making dry powder formulations.

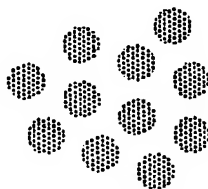
Dry powder aerosol delivery devices must be able to accurately, precisely, and repeatably deliver the intended amount of drug. Moreover, such devices must be able to fully disperse the dry powder into individual particles of a respirable size. Conventional micronized drug particles of 2-3 microns in diameter are often difficult to meter and disperse in small quantities because of the electrostatic cohesive forces inherent in such powders. These difficulties can lead to loss of drug substance to the delivery device as well as incomplete powder dispersion and sub-optimal delivery to the lung. Many drug compounds, particularly proteins and peptides, are intended for deep lung delivery and systemic absorption. Since the average particle sizes of conventionally prepared dry powders are usually in the range of 2-3 microns, the fraction of material

which actually reaches the alveolar region may be quite small. Thus, delivery of micronized dry powders to the lung, especially the alveolar region, is generally very inefficient because of the properties of the powders themselves.

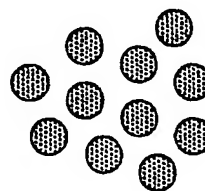
The dry powder aerosols which contain nanoparticulate drugs can be made smaller than comparable micronized drug substance and, therefore, are appropriate for efficient delivery to the deep lung. Moreover, aggregates of nanoparticulate drugs are spherical in geometry and have good flow properties, thereby aiding in dose metering and deposition of the administered composition in the lung or nasal cavities.



Micronized Drug Substance  
not less than 2  $\mu\text{m}$  in diameter



Respirable Aggregates of Nanoparticles  
Less than 2  $\mu\text{m}$  to 100  $\mu\text{m}$  in diameter



Respirable Diluent Particles Containing  
Embedded of Nanoparticles. Less than 2  
 $\mu\text{m}$  to 100  $\mu\text{m}$  in diameter

Dry nanoparticulate compositions can be used in both DPIs and pMDIs.

(In this invention, "dry" refers to a composition having less than about 5% water.)

## 6. Propellant-Based Aerosols

Another embodiment of the invention is directed to a process and composition for propellant-based MDIs containing nanoparticulate drug particles. pMDIs can comprise either discrete nanoparticles of drug and surface modifier, aggregates of nanoparticles of drug and surface modifier, or inactive diluent particles containing embedded nanoparticles. pMDIs can be used for targeting the nasal cavity, the conducting airways of the lung, or the alveoli. Compared to conventional formulations, the present invention affords increased delivery to the deep lung regions because the inhaled nanoparticulate drug particles are smaller than conventional micronized material (< 2  $\mu\text{m}$ ) and are distributed over a larger mucosal or alveolar surface area as compared to micronized drugs.

Nanoparticulate drug pMDIs of the present invention can utilize either chlorinated or non-chlorinated propellants. Concentrated nanoparticulate aerosol formulations can also be employed in pMDIs.

## 5    **B.    Methods of Making Aerosol Formulations**

The nanoparticulate drug compositions for aerosol administration can be made by, for example, (1) nebulizing an aqueous dispersion of nanoparticulate drug, obtained by either grinding or precipitation; (2) aerosolizing a dry powder of aggregates  
10 of nanoparticulate drug and surface modifier (the aerosolized composition may additionally contain a diluent); or (3) aerosolizing a suspension of nanoparticulate drug or drug aggregates in a non-aqueous propellant. The aggregates of nanoparticulate drug and surface modifier, which may additionally contain a diluent, can be made in a non-pressurized or a pressurized non-aqueous system. Concentrated aerosol formulations may  
15 also be made via such methods.

### 1.    **Aqueous Milling to obtain Nanoparticulate Drug Dispersions**

Milling of aqueous drug to obtain nanoparticulate drug is described in the '684 patent. In sum, drug particles are dispersed in a liquid dispersion medium and  
20 mechanical means is applied in the presence of grinding media to reduce the particle size of the drug to the desired effective average particle size. The particles can be reduced in size in the presence of one or more surface modifiers. Alternatively, the particles can be contacted with one or more surface modifiers after attrition. Other compounds, such as a diluent, can be added to the drug/surface modifier composition during the size reduction  
25 process. Dispersions can be manufactured continuously or in a batch mode.

### 2.    **Precipitation to Obtain Nanoparticulate Drug Compositions**

Another method of forming the desired nanoparticle dispersion is by microprecipitation. This is a method of preparing stable dispersions of drugs in the  
30 presence of one or more surface modifiers and one or more colloid stability enhancing

surface active agents free of any trace toxic solvents or solubilized heavy metal impurities. Such a method comprises, for example, (1) dissolving the drug in a suitable solvent with mixing; (2) adding the formulation from step (1) with mixing to a solution comprising at least one surface modifier to form a clear solution; and (3) precipitating the formulation from step (2) with mixing using an appropriate nonsolvent. The method can be followed by removal of any formed salt, if present, by dialysis or diafiltration and concentration of the dispersion by conventional means. The resultant nanoparticulate drug dispersion can be utilized in liquid nebulizers or processed to form a dry powder for use in a DPI or pMDI.

### 3. Non-Aqueous Non-Pressurized Milling Systems

In a non-aqueous, non-pressurized milling system, a non-aqueous liquid having a vapor pressure of about 1 atm or less at room temperature and in which the drug substance is essentially insoluble is used as a wet milling medium to make a nanoparticulate drug composition. In such a process, a slurry of drug and surface modifier is milled in the nonaqueous medium to generate nanoparticulate drug particles. Examples of suitable non-aqueous media include ethanol, trichloromonofluoromethane (CFC-11), and dichlorotetrafluoroethane (CFC-114). An advantage of using CFC-11 is that it can be handled at only marginally cool room temperatures, whereas CFC-114 requires more controlled conditions to avoid evaporation. Upon completion of milling the liquid medium may be removed and recovered under vacuum or heating, resulting in a dry nanoparticulate composition. The dry composition may then be filled into a suitable container and charged with a final propellant. Exemplary final product propellants, which ideally do not contain chlorinated hydrocarbons, include HFA-134a (tetrafluoroethane) and HFA-227 (heptafluoropropane). While non-chlorinated propellants may be preferred for environmental reasons, chlorinated propellants may also be used in this aspect of the invention.

#### 4. Non-Aqueous Pressurized Milling System

In a non-aqueous, pressurized milling system, a non-aqueous liquid medium having a vapor pressure significantly greater than 1 atm at room temperature is used in the milling process to make nanoparticulate drug compositions. If the milling medium is a suitable halogenated hydrocarbon propellant, the resultant dispersion may be filled directly into a suitable pMDI container. Alternately, the milling medium can be removed and recovered under vacuum or heating to yield a dry nanoparticulate composition. This composition can then be filled into an appropriate container and charged with a suitable propellant for use in a pMDI.

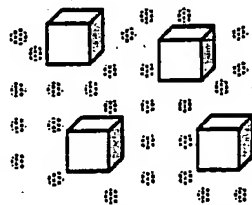
#### 5. Spray-Dried Powder Aerosol Formulations

Spray drying is a process used to obtain a powder containing nanoparticulate drug particles following particle size reduction of the drug in a liquid medium. In general, spray-drying is used when the liquid medium has a vapor pressure of less than about 1 atm at room temperature. A spray-dryer is a device which allows for liquid evaporation and drug powder collection. A liquid sample, either a solution or suspension, is fed into a spray nozzle. The nozzle generates droplets of the sample within a range of about 20 to about 100  $\mu\text{m}$  in diameter which are then transported by a carrier gas into a drying chamber. The carrier gas temperature is typically between about 80 and about 200°C. The droplets are subjected to rapid liquid evaporation, leaving behind dry particles which are collected in a special reservoir beneath a cyclone apparatus.

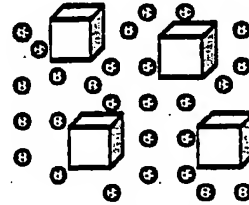
If the liquid sample consists of an aqueous dispersion of nanoparticles and surface modifier, the collected product will consist of spherical aggregates of the nanoparticulate drug particles. If the liquid sample consists of an aqueous dispersion of nanoparticles in which an inert diluent material was dissolved (such as lactose or mannitol), the collected product will consist of diluent (*e.g.*, lactose or mannitol) particles which contain embedded nanoparticulate drug particles. The final size of the collected product can be controlled and depends on the concentration of nanoparticulate drug and/or diluent in the liquid sample, as well as the droplet size produced by the spray-dryer

nozzle. For deep lung delivery it is desirable for the collected product size to be less than about 2  $\mu\text{m}$  in diameter; for delivery to the conducting airways it is desirable for the collected product size to be about 2 to about 6  $\mu\text{m}$  in diameter, and for nasal delivery a collected product size of about 5 to about 100  $\mu\text{m}$  is preferred. Collected products may then be used in conventional DPIs for pulmonary or nasal delivery, dispersed in propellants for use in pMDIs, or the particles may be reconstituted in water for use in nebulizers.

In some instances it may be desirable to add an inert carrier to the spray-dried material to improve the metering properties of the final product. This may especially be the case when the spray dried powder is very small (less than about 5  $\mu\text{m}$ ) or when the intended dose is extremely small, whereby dose metering becomes difficult. In general, such carrier particles (also known as bulking agents) are too large to be delivered to the lung and simply impact the mouth and throat and are swallowed. Such carriers typically consist of sugars such as lactose, mannitol, or trehalose. Other inert materials, including polysaccharides and cellulose, may also be useful as carriers.



Respirable aggregates of nanoparticles mixed with an inert carrier



Respirable diluent particles containing embedded nanoparticles mixed with an inert carrier.

Spray-dried powders containing nanoparticulate drug particles may be used in conventional DPIs, dispersed in propellants for use in pMDIs, or reconstituted in a liquid medium for use with nebulizers.

## 6. Freeze-Dried Nanoparticulate Compositions

For compounds that are denatured or destabilized by heat, such as compounds having a low melting point (*i.e.*, about 70 to about 150°C), or for example, biologics, sublimation is preferred over evaporation to obtain a dry powder nanoparticulate drug composition. This is because sublimation avoids the high process temperatures associated with spray-drying. In addition, sublimation, also known as freeze-drying or lyophilization, can increase the shelf stability of drug compounds, particularly for biological products. Freeze-dried particles can also be reconstituted and used in nebulizers. Aggregates of freeze-dried nanoparticulate drug particles can be blended with either dry powder intermediates or used alone in DPIs and pMDIs for either nasal or pulmonary delivery.

Sublimation involves freezing the product and subjecting the sample to strong vacuum conditions. This allows for the formed ice to be transformed directly from a solid state to a vapor state. Such a process is highly efficient and, therefore, provides greater yields than spray-drying. The resultant freeze-dried product contains drug and modifier(s). The drug is typically present in an aggregated state and can be used for inhalation alone (either pulmonary or nasal), in conjunction with diluent materials (lactose, mannitol, *etc.*), in DPIs or pMDIs, or reconstituted for use in a nebulizer.

### C. Methods of Using Nanoparticulate Drug Aerosol Formulations

The aerosols of the present invention, both aqueous and dry powder, are particularly useful in the treatment of respiratory-related illnesses such as asthma, emphysema, respiratory distress syndrome, chronic bronchitis, cystic fibrosis, chronic obstructive pulmonary disease, organ-transplant rejection, tuberculosis and other infections of the lung, fungal infections, respiratory illness associated with acquired immune deficiency syndrome, oncology, and systemic administration of an anti-emetic, analgesic, cardiovascular agent, *etc.* The formulations and method result in improved lung and nasal surface area coverage by the administered drug.

In addition, the aerosols of the invention, both aqueous and dry powder, can be used in a method for diagnostic imaging. Such a method comprises administering to the body of a test subject in need of a diagnostic image an effective contrast-producing amount of the nanoparticulate aerosol diagnostic image contrast composition. Thereafter, at least a portion of the body containing the administered contrast agent is exposed to x-rays or a magnetic field to produce an x-ray or magnetic resonance image pattern corresponding to the presence of the contrast agent. The image pattern can then be visualized.

**D. Summary of Advantages of the Compositions and Methods of the Invention**

Using the compositions of the invention, essentially water-insoluble drugs can be delivered to the deep lung. This is either not possible or extremely difficult using aerosol formulations of micronized water-insoluble drugs. Deep lung delivery is necessary for drugs that are intended for systemic administration, because deep lung delivery allows rapid absorption of the drug into the bloodstream via the alveoli, thus enabling rapid onset of action.

The present invention increases the number of drug particles per unit dose and results in distribution of the nanoparticulate drug particles over a larger physiological surface area as compared to the same quantity of delivered micronized drug. For systemic delivery via the pulmonary route, this approach takes maximum advantage of the extensive surface area presented in the alveolar region – thus producing more favorable drug delivery profiles, such as a more complete absorption and rapid onset of action.

Moreover, in contrast to micronized aqueous aerosol dispersions, aqueous dispersions of water-insoluble nanoparticulate drugs can be nebulized ultrasonically.

Micronized drug is too large to be delivered efficiently via an ultrasonic nebulizer.

Droplet size determines *in vivo* deposition of a drug, *i.e.*, very small particles, about < 2 microns, are delivered to the alveoli; larger particles, about 2 to about 10 microns, are delivered to the bronchiole region; and for nasal delivery, particles of about 5 to about 100 microns are preferred. Thus, the ability to obtain very small drug particle sizes which can “fit” in a range of droplet sizes allows more effective and more



efficient (*i.e.*, dose uniformity) targeting to the desired delivery region. This is not possible using micronized drug, as the particle size of such drugs is too large to target areas such as the alveolar region of the lung. Moreover, even when micronized drug is incorporated into larger droplet sizes, the resultant aerosol formulation is heterogeneous (*i.e.*, not all droplets contain drug), and does not result in such the rapid and efficient drug delivery enabled by the nanoparticulate aerosol formulations of the invention.

The present invention also enables the aqueous aerosol delivery of high doses of drug in an extremely short time period, *i.e.*, 1-2 seconds (1 puff). This is in contrast to the conventional 4-20 min. administration period observed with pulmonary aerosol formulations of micronized drug.

Furthermore, the dry aerosol nanoparticulate powders of the present invention are spherical and can be made smaller than micronized material, thereby producing aerosol compositions having better flow and dispersion properties, and capable of being delivered to the deep lung.

Finally, the aerosol compositions of the present invention enable rapid nasal delivery. Nasal delivery of such aerosol compositions will be absorbed more rapidly and completely than micronized aerosol compositions before being cleared by the mucociliary mechanism.

\* \* \* \* \*

### Drug Particles

The nanoparticles of the invention comprise a therapeutic or diagnostic agent, which in the invention are collectively are referred to as a "drug." A therapeutic agent can be a pharmaceutical, including biologics such as proteins and peptides, and a diagnostic agent is typically a contrast agent, such as an x-ray contrast agent, or any other type of diagnostic material. The drug exists as a discrete, crystalline phase. The crystalline phase differs from a non-crystalline or amorphous phase which results from precipitation techniques, such as those described in EPO 275,796.

The invention can be practiced with a wide variety of drugs. The drug is preferably present in an essentially pure form, is poorly soluble, and is dispersible in at

least one liquid medium. By "poorly soluble" it is meant that the drug has a solubility in the liquid dispersion medium of less than about 10 mg/mL, and preferably of less than about 1 mg/mL.

Suitable drugs include those intended for pulmonary or intranasal delivery.

- 5 Pulmonary and intranasal delivery are particularly useful for the delivery of proteins and polypeptides which are difficult to deliver by other routes of administration. Such pulmonary or intranasal delivery is effective both for systemic delivery and for localized delivery to treat diseases of the air cavities.

- Preferable drug classes include proteins, peptides, bronchodilators,  
10 corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, and respiratory illness therapies associated with acquired immune  
15 deficiency syndrome, oncology therapies, systemic administration of anti-emetics, analgesics, cardiovascular agents, etc.

- The drug can be selected from a variety of known classes of drugs, including, for example, analgesics, anti-inflammatory agents, anthelmintics, anti-arrhythmic agents, antibiotics (including penicillins), anticoagulants, antidepressants,  
20 antidiabetic agents, antiepileptics, antihistamines, antihypertensive agents, antimuscarinic agents, antimycobacterial agents, antineoplastic agents, immunosuppressants, antithyroid agents, antiviral agents, anxiolytic sedatives (hypnotics and neuroleptics), astringents, beta-adrenoceptor blocking agents, blood products and substitutes, cardiac inotropic agents, contrast media, corticosteroids, cough suppressants (expectorants and mucolytics),  
25 diagnostic agents, diagnostic imaging agents, diuretics, dopaminergics (antiparkinsonian agents), haemostatics, immunological agents, lipid regulating agents, muscle relaxants, parasympathomimetics, parathyroid calcitonin and biphosphonates, prostaglandins, radio-pharmaceuticals, sex hormones (including steroids), anti-allergic agents, stimulants and anoretics, sympathomimetics, thyroid agents, vasodilators and xanthines.

A description of these classes of drugs and a listing of species within each class can be found in Martindale, *The Extra Pharmacopoeia*, Twenty-ninth Edition (The Pharmaceutical Press, London, 1989), specifically incorporated by reference. The drugs are commercially available and/or can be prepared by techniques known in the art.

5 Preferred contrast agents are taught in the '684 patent, which is specifically incorporated by reference. Suitable diagnostic agents are also disclosed in U.S. Patent No. 5,260,478; U.S. Patent No. 5,264,610; U.S. Patent No. 5,322,679; and U.S. Patent No. 5,300,739, all specifically incorporated by reference.

## 10 Surface Modifiers

Suitable surface modifiers can preferably be selected from known organic and inorganic pharmaceutical excipients. Such excipients include various polymers, low molecular weight oligomers, natural products, and surfactants. Preferred surface modifiers include nonionic and ionic surfactants. Two or more surface modifiers can be  
15 used in combination.

Representative examples of surface modifiers include cetyl pyridinium chloride, gelatin, casein, lecithin (phosphatides), dextran, glycerol, gum acacia, cholesterol, tragacanth, stearic acid, benzalkonium chloride, calcium stearate, glycerol monostearate, cetostearyl alcohol, cetomacrogol emulsifying wax, sorbitan esters,  
20 polyoxyethylene alkyl ethers (e.g., macrogol ethers such as cetomacrogol 1000), polyoxyethylene castor oil derivatives, polyoxyethylene sorbitan fatty acid esters (e.g., the commercially available Tweens® such as e.g., Tween 20® and Tween 80® (ICI Specialty Chemicals)); polyethylene glycols (e.g., Carbowaxs 3350® and 1450®, and Carbopol 934® (Union Carbide)), dodecyl trimethyl ammonium bromide, polyoxyethylene stearates,  
25 colloidal silicon dioxide, phosphates, sodium dodecylsulfate, carboxymethylcellulose calcium, hydroxypropyl cellulose (HPC, HPC-SL, and HPC-L), hydroxypropyl methylcellulose (HPMC), carboxymethylcellulose sodium, methylcellulose, hydroxyethylcellulose, hydroxypropylcellulose, hydroxypropylmethyl-cellulose phthalate, noncrystalline cellulose, magnesium aluminum silicate, triethanolamine,  
30 polyvinyl alcohol (PVA), polyvinylpyrrolidone (PVP), 4-(1,1,3,3-tetramethylbutyl)-

phenol polymer with ethylene oxide and formaldehyde (also known as tyloxapol, superione, and triton), poloxamers (e.g., Pluronic F68<sup>®</sup> and F108<sup>®</sup>, which are block copolymers of ethylene oxide and propylene oxide); poloxamines (e.g., Tetronic 908<sup>®</sup>, also known as Poloxamine 908<sup>®</sup>, which is a tetrafunctional block copolymer derived from sequential addition of propylene oxide and ethylene oxide to ethylenediamine (BASF Wyandotte Corporation, Parsippany, N.J.)); a charged phospholipid such as dimyristoyl phosphatidyl glycerol, dioctylsulfosuccinate (DOSS); Tetronic 1508<sup>®</sup> (T-1508) (BASF Wyandotte Corporation), dialkylesters of sodium sulfosuccinic acid (e.g., Aerosol OT<sup>®</sup>, which is a dioctyl ester of sodium sulfosuccinic acid (American Cyanamid)); Duponol P<sup>®</sup>, which is a sodium lauryl sulfate (DuPont); Tritons X-200<sup>®</sup>, which is an alkyl aryl polyether sulfonate (Rohm and Haas); Crodestas F-110<sup>®</sup>, which is a mixture of sucrose stearate and sucrose distearate (Croda Inc.); p-isononylphenoxypoly-(glycidol), also known as Olin-10G<sup>®</sup> or Surfactant 10-G<sup>®</sup> (Olin Chemicals, Stamford, CT); Crodestas SL-40<sup>®</sup> (Croda, Inc.); and SA9OHCO, which is  $C_{18}H_{37}CH_2(CON(CH_3)CH_2(CHOH)_4(CH_2OH)_2$  (Eastman Kodak Co.); decanoyl-N-methylglucamide; n-decyl  $\beta$ -D-glucopyranoside; n-decyl  $\beta$ -D-maltopyranoside; n-dodecyl  $\beta$ -D-glucopyranoside; n-dodecyl  $\beta$ -D-maltoside; heptanoyl-N-methylglucamide; n-heptyl- $\beta$ -D-glucopyranoside; n-heptyl  $\beta$ -D-thioglucoside; n-hexyl  $\beta$ -D-glucopyranoside; nonanoyl-N-methylglucamide; n-nonyl  $\beta$ -D-glucopyranoside; octanoyl-N-methylglucamide; n-octyl- $\beta$ -D-glucopyranoside; octyl  $\beta$ -D-thioglucopyranoside; and the like. Tyloxapol is a particularly preferred surface modifier for the pulmonary or intranasal delivery of steroids, even more so for nebulization therapies.

Most of these surface modifiers are known pharmaceutical excipients and are described in detail in the *Handbook of Pharmaceutical Excipients*, published jointly by the American Pharmaceutical Association and The Pharmaceutical Society of Great Britain (The Pharmaceutical Press, 1986), specifically incorporated by reference. The surface modifiers are commercially available and/or can be prepared by techniques known in the art.

### Ratios

The relative amount of drug and surface modifier can vary widely and the optimal amount of the surface modifier can depend upon, for example, the particular drug and surface modifier selected, the critical micelle concentration of the surface modifier if it forms micelles, the hydrophilic-lipophilic-balance (HLB) of the surface modifier, the melting point of the surface modifier, the water solubility of the surface modifier and/or drug, the surface tension of water solutions of the surface modifier, *etc.*

In the present invention, the optimal ratio of drug to surface modifier is about 1% to about 99% drug, more preferably about 30% to about 90% drug.

\* \* \* \*

The following examples are given to illustrate the present invention. It should be understood, however, that the invention is not to be limited to the specific conditions or details described in these examples.

### Example 1

The purpose of this example was to demonstrate the ability to aerosolize a concentrated nanoparticulate dispersion in an ultrasonic nebulizer which incorporates a fine mesh screen in its design. An additional purpose of this example was to demonstrate that a therapeutic quantity of a concentrated nanoparticulate corticosteroid can be aerosolized in a very short period of time; e.g., two seconds or less.

Two different nanoparticulate dispersions of beclomethasone dipropionate (BDP) (1.25% and 10% BDP) were aerosolized using an ultrasonic nebulizer (Omron NE-U03 MicroAir®). The nebulizer generated droplets on a piezoelectric crystal and extruded them through a screen which contains ultrafine laser-drilled holes, producing an aerosol which has a very narrow particle size distribution in the range of approximately 1-5  $\mu\text{m}$ . The device was connected to an Andersen cascade impactor with a flow rate at 28.3 liters per minute. For each formulation, the nebulizer was actuated for two seconds using a programmable timer. The actuation time roughly corresponds to one inhalation cycle

with a pMDI. After actuation, each stage of the impactor was analyzed for drug deposition by HPLC analysis.

The data indicate that substantial quantities of drug substance were found on stages 3-6 of the cascade impactor, corresponding to aerodynamic droplet sizes of about 0.7 to 4.7  $\mu\text{m}$ . The total amount of drug in the respirable droplet size range for deep lung delivery (*i.e.*, particles less than about 2 microns; Stages 5, 6, and 7) was 11.72  $\mu\text{g}$  for the 1.25% BDP (w/w) dispersion and 18.36  $\mu\text{g}$  for the 10% BDP (w/w) dispersion. The total amount of drug in the respirable droplet size range for upper pulmonary delivery (*i.e.* particles about 2 to 5 microns; Stages 2, 3, 4, and 5) was 17.26  $\mu\text{g}$  for the 1.25% BDP dispersion and 178.40  $\mu\text{g}$  for the 10% BDP dispersion.

One advantage provided by nanoparticulate formulations is that the drug particles are small enough to pass through the finer mesh channels of the nebulizer. In contrast, conventional micronized drug material would be expected to clog the orifices in the screen. Cascade impactor data from an *in vitro* deposition study of a nanoparticulate BDP dispersion aerosolized by an Omron NE-U03 Ultrasonic Nebulizer are summarized in Table I below:

**TABLE I**

<b>Observed <i>In-Vitro</i> Deposition Pattern of an Aerosolized Nanoparticulate BDP Dispersion</b>			
<b>Deposition Site/ Impactor Area</b>	<b>Droplet Size Range (<math>\mu\text{m}</math>)<sup>a</sup></b>	<b>1.25% BDP<sup>b</sup> (<math>\mu\text{g}</math> Collected)</b>	<b>10% BDP<sup>c</sup> (<math>\mu\text{g}</math> Collected)</b>
Stage 0	9.0 - 10.0	4.76	19.30
Stage 1	5.8 - 9.0	1.95	37.50
Stage 2	4.7 - 5.8	0.75	42.00
Stage 3	3.3 - 4.7	1.73	79.40
Stage 4	2.1 - 3.3	5.97	45.20
Stage 5	1.1 - 2.1	8.81	11.80
Stage 6	0.7 - 1.1	2.09	3.59
Stage 7	0.4 - 0.7	0.82	2.97
After Filter	<0.4	2.25	18.70
<b>TOTAL</b>		<b>29.83</b>	<b>260.46</b>
Collar	N/A	0.00	N/A
Induction Port	N/A	4.10	22.40
Adapter	N/A	N/A	N/A
Tube	N/A	N/A	10.98

<sup>a</sup>All results based on 2 second actuation with the Omron NE-U03.

<sup>b</sup>Particle Size of concentrate BDP 1.25% (w/w): mean of 171 nm, 90% < 234 nm, standard deviation 30 nm

<sup>c</sup>Particle Size of concentrate BDP 10% (w/w): mean of 94 nm, 90% < 130 nm, standard deviation 30 nm

The results, which are graphically depicted in Figure 1, show substantial deposition of drug at Stages 2, 3, 4, and 5. This corresponds to delivery to conducting  
5 airways. Most of the drug substance is found in droplets of about 2 to about 6  $\mu\text{m}$ , which are ideal for delivery to the bronchiole region.

### **Example 2**

The purpose of this example was to demonstrate aerosolization of a  
10 nanoparticulate dispersion using a using a jet nebulizer (Circulaire®, Westmed, Inc., Tucson, AZ), which can produce aqueous droplets in the size range of 0.5-2.0  $\mu\text{m}$ . Such droplet sizes are suitable for delivery to the alveolar region of the lung, *i.e.*, deep lung delivery.

A nanoparticulate dispersion of BDP was prepared by wet milling  
15 micronized drug substance in an aqueous tyloxapol surface modifier solution until a satisfactory particle size distribution had been obtained. The formulation was evaluated

by light scattering methods (Microtrac UPA, Leeds & Northrup) and was found to have a mean particle size of 139 nm, with 90% of the particles being less than 220 nm (volume statistics).

5 The delivery performance of the BDP/surface modifier dispersion in a jet nebulizer was evaluated as follows: Approximately 3.5 ml of the BDP/surface modifier dispersion (2 mg/ml) was added to the nebulizer bowl, and the nebulizer mouthpiece was connected to the throat of a cascade impactor apparatus with an airtight seal. The nebulizer and cascade impactor were then operated under suitable pressure and flow conditions for approximately 4 minutes using a 4 seconds on/4 seconds off cycle. Upon  
10 completion of the nebulization, each section of the apparatus was rinsed with acetonitrile and the washings diluted volumetrically.

The quantity of drug substance present in each section of the apparatus was determined by high performance liquid chromatography.

## 15 Results

Analysis of the chromatograms showed that relatively little drug substance was deposited in the higher regions of the cascade impactor apparatus, while substantial quantities of material appeared on stages 5-7, as well as on the exit filter. In Experiment 1, approximately 92% of the emitted dose (ex-device) was contained in droplets < 2.1  $\mu$ m  
20 in diameter; in Experiment 2 the value was 86%. The results indicate that substantial quantities of drug substance were found on cascade impactor stages 5, 6, and 7, corresponding to droplet sizes of about 0.43 to about 2.1 microns. The smallest drug particle size normally accessible by conventional micronization methods for raw materials is about 2 to 3 microns, which is clearly larger than the droplets generated by this jet  
25 nebulizer. Detailed results of the cascade impactor study are presented Table II below, and graphically in Figure 2.



**TABLE II****Observed *In Vitro* Deposition Pattern of a Nanoparticulate BDP Suspension**

Deposition Site	Droplet Size Range ( $\mu\text{m}$ )	Experiment 1*	Experiment 2*
Throat		33.13	36.00
Preselector, Stage 0	> 9.0	17.64	65.27
Stages 1 and 2	4.7 – 9.0	19.90	80.69
Stage 3	3.3 – 4.7	8.76	55.59
Stage 4	2.1 – 3.3	2.13	17.90
Stage 5	1.1 – 2.1	122.41	336.16
Stage 6	0.65 – 1.1	354.20	580.20
Stage 7	0.43 – 0.65	286.42	376.11
filter	< 0.43	297.60	297.15
TOTAL		1142.19	1845.07

\* $\mu\text{g}$  of BDP Collected

In contrast to Example 1, which used an ultrasonic nebulizer (Omron NE-U03 MicroAir®) that generates droplets in the range of 2-6  $\mu\text{m}$ , this example used a jet nebulizer that generates droplets in the range of < 2  $\mu\text{m}$ . The successful deposition of aerosol drug particles at Stages 6 and 7 demonstrates the effectiveness of using such compositions for deep lung delivery.

**Example 3**

The purpose of this example was to demonstrate the preparation of a nanoparticulate dry powder for use in a DPI.

40.0% (w/w) naproxen, 4.00% (w/w) PVP K29/30 (a surface modifier), and 56.0% (w/w) deionized water were milled with 500  $\mu\text{m}$  SDy-20 polymeric media for 7.5 hours to achieve a mean particle size of 254 nm, with 90% of the particles having a size of less than 335 nm. The material was diluted to 20% (w/w) naproxen and further milled with 50  $\mu\text{m}$  SDy-20 media for a period of 6 hours to yield a mean particle size of 155 nm, with 90% of the particles having a particle size of less than 212 nm. The nanoparticulate dispersion was then diluted to 2% (w/w) naproxen with sufficient quantities of Sterile Water for Injection. The suspension was then spray-dried using a Yamato GB-22 operating with the following parameters:

Inlet Temp.: 130°C  
Outlet Temp.: 71 - 76°C  
Drying Air: 0.37 m<sup>3</sup>/min.  
Atom. Air: 2 M Pa  
5 Pump Speed: ca. 8.4 mL/min.

The resultant nanoparticulate powder possessed a MMAD of 1.67 µm, with 90% of the particles having a MMAD of less than 2.43 µm, as determined by a time-of-flight particle sizing instrument. See Figure 3, which shows the volume distribution by the aerodynamic diameter of the spray-dried naproxen aerosol. Thus, all particles fell  
10 within the respirable size range required for pulmonary deposition. Additionally, greater than 50 percent of the particle population fell within the size required for peripheral lung deposition (alveolar, < 2 µm).

Interestingly, the spray-dried drug particles also demonstrated a spherical shape, which will improve the flow properties of the powder (as compared to prior  
15 micronized spray-dried powder formulations). The electron micrograph of Figure 4 clearly shows the overall uniformity of size and the spherical nature of the particles. In addition, the exterior surface of the drug particle, which is composed of the polymeric stabilizer, may have advantages in limiting moisture uptake upon storage.

Lastly, to demonstrate that these spray-dried particles are constructed of  
20 aggregates of the original nanoparticulate drug, reconstitution in a liquid medium resulted in the return to the original nanoparticulate dispersion, with a mean particle size of 184 nm, and 90 % of the particles having a size of less than 255 nm.

#### Example 4

25 The purpose of this example was to further demonstrate the ability to influence the aerodynamic size of the spray-dried nanoparticulate composition by using a different concentration of nanoparticulate drug dispersion.

The concentration of naproxen and surface modifier (PVP K29/30) was the same as in Example 5, except that the composition was diluted with Sterile Water for  
30 Injection to achieve a 5 % (w/w) naproxen suspension. The spray-drier used was the Yamato GB-22, with the same operating parameters used in Example 4.

The resultant powder was composed of nanoparticulate aggregates with a MMAD of 2.91  $\mu\text{m}$ , with 90% of the drug particles having a MMAD of less than 4.65  $\mu\text{m}$ . This material is within the desired range for inhaled pulmonary deposition and may be more suitable for central airway targeting, *i.e.*, within a range of 2 to 6  $\mu\text{m}$ . See Figure 5, which shows the volume distribution by the aerodynamic diameter of the spray-dried naproxen aerosol.

### Example 5

The purpose of this example was to produce a spray-dried nanoparticulate powder for aerosol administration.

20.0% (w/w) triamcinolone acetonide (TA), 2.00% (w/w) HPC-SL (a surface modifier), 0.01% (w/w) benzalkonium chloride (BKC), and 76.9% (w/w) deionized water was milled in the presence of 500  $\mu\text{m}$  SDy-20 polymeric media for approximately one hour. The final drug mean particle size was 169 nm, with 90 % of the drug particles having a size of less than 259 nm. The nanoparticulate drug dispersion was then diluted to 10% (w/w) TA with a 0.01 % BKC solution. The dispersion was then spray-dried using a Buchi B-191 spray-drier at the following settings:

Inlet Temp.:	130°C
Outlet Temp.	76°C
Aspirator setting:	90% capacity
Product feed:	18% capacity

The resultant nanoparticulate powder possessed aggregates of nanoparticulate TA particles with a MMAD of 5.54  $\mu\text{m}$ , and 90 % of the TA particles had a MMAD of less than 9.08  $\mu\text{m}$  via a time-of-flight measuring system. Thus, 50 percent of the particles fall within the respirable range for central airway (bronchiole deposition). See Figure 6, which shows the volume distribution by the aerodynamic diameter of the spray-dried TA aerosol. In addition, the TA powder was of spherical shape as compared to the jet-milled drug, thus affording improved flow properties. Lastly, the powder redisperses in liquid medium to achieve well-dispersed nanoparticles of drug at a mean particle size of 182 nm.

**Example 6**

The purpose of this example was to produce a spray-dried nanoparticulate drug/surface modifier powder for aerosol administration, wherein the composition lacks a diluent. In addition, this example compares the deposition of the nanoparticulate powder with the deposition of a micronized drug substance in a dry-powder delivery device.

10% (w/w) budesonide, 1.6% (w/w) HPMC (surface modifier), and 88.4% (w/w) deionized water were milled in the presence of 500  $\mu\text{m}$  SDy-20 polymeric media for 1.5 hours. The resultant mean particle size was 166 nm, with 90% of the particles having a size of less than 233 nm. The nanoparticulate dispersion was then diluted to 0.5% (w/w) budesonide with deionized water. The dispersion was spray-dried using a Yamato GB-22 spray-dryer operating at the following parameters:

Inlet Temperature: 125°C  
Drying Air: 0.40 m<sup>3</sup>/minute  
Atomizing Air: 0.2 MPa  
Outlet Temperature: 60-61°C

The resultant nanoparticulate aggregates possessed a MMAD of 1.35  $\mu\text{m}$ , with 90% of the particles having a MMAD of less than 2.24  $\mu\text{m}$ , as measured by time-of-flight methodology.

A final powder blend was made, composed of 4% (w/w) nanoparticulate budesonide/surface modifier (3.2% (w/w) drug) and 96% lactose. The mixing was carried out using a Patterson-Kelley V-Blender with Lexan shell. The same procedure was followed for micronized budesonide at 3.4% (w/w) drug (Sicor, Via Terrazano 77, Italy).

Each drug powder – the nanoparticulate and the micronized – was then loaded into a Clickhaler™ (ML Laboratories plc, England), having a 1.5 mm<sup>3</sup> dosing chamber. Each unit was evaluated using an Andersen cascade impactor operating at approximately 60 liters per minute. Five actuations were delivered to the impactor and the unit was then disassembled and the collection plates analyzed via HPLC. This was performed in triplicate. The data as percent of emitted dose from the DPI is shown below in Table III.

**TABLE III**

<b><i>In vitro</i> Deposition of Nanoparticulate Budesonide vs Micronized Budesonide in a DPI<sup>a</sup></b>			
<b>Impactor Region</b>	<b>Aerodynamic Particle Size Range (μm)</b>	<b>Nanoparticulate Budesonide</b>	<b>Micronized Budesonide</b>
Stage 0	5.9 - 10.0	14.1	16.7
Stage 1	4.1 - 5.9	1.03	5.31
Stage 2	3.2 - 4.1	3.09	4.76
Stage 3	2.1 - 3.2	14.9	7.74
Stage 4	1.4 - 2.1	26.7	5.73
Stage 5	0.62 - 1.4	12.1	3.48
Stage 6	0.35 - 0.62	2.22	N/D
Stage 7	0.15 - 0.35	0.39	N/D
After Filter	<0.15	N/D	N/D
<b>Total Respirable</b>	<b>&lt;5.9</b>	<b>60.4</b>	<b>27.0</b>
<b>Total Systemic</b>	<b>&lt;2.1</b>	<b>41.4</b>	<b>9.21</b>
Cone	N/A	0.40	0.94
Induction Port	N/A	12.7	44.0
Adapter	N/A	12.4	11.3

<sup>a</sup>As percent of emitted dose through device. Cascade Impactor operated at ca. 60 L/min.

- The results indicate that the nanoparticulate budesonide powder delivered
- 5 60.4% of the dose to the respirable regions of the impactor, while only 27% of the micronized drug was delivered to the same region. Furthermore, 41.4% of the nanoparticulate aggregates were found in the region corresponding to alveolar lung deposition, in contrast to only 9.21% for the micronized material. Thus, the spray-dried nanoparticulate aggregates were more efficiently aerosolized than the micronized drug.
- 10 About 450% more *in vitro* deposition was observed within the systemic region for the nanoparticulate aggregates as compared to the micronized drug blend (measured as percent of delivered dose). Electron micrographs of the nanoparticulate and micronized dry substance formulations are shown in Figure 7.

**Example 7**

The purpose of this example was to demonstrate the production of freeze-dried nanoparticulate drug compositions for use in aerosol formulations.

10.0% (w/w) of a novel anti-emetic, 2.00% (w/w) of Poloxamer 188® (a  
5 surface modifier), 0.500% (w/w) PVP C-15, and 87.5% (w) of Sterile Water for Injection  
was milled in the presence of 500 µm SDy-20 polymeric media for a period of 2 hours. A  
composition having a mean particle size of 286 nm, with 90% of the particles having a  
size of less than 372 nm, was determined via the Horiba LA-910 particle sizer. This  
material was then diluted to 5 % (w/w) drug with Sterile Water for Injection and  
10 subjected to 60 minutes milling with 50 µm SDy-20 media. The final particle size  
obtained was 157 nm, with 90 % of the drug particles having a size of less than 267 nm,  
as determined via the Horiba LA-910. This dispersion was then utilized in a series of  
freeze-drying experiments below.

The freeze-dryer utilized was an FTS Dura-Stop system with operating  
15 parameters as follows:

Product freeze temperature: -30°C (2 hours hold)

Primary Drying:

1. Shelf temperature set: -25°C  
Chamber vacuum: 100 mT  
20 Hold time: 2000 min.
2. Shelf temp.: -10°C  
Chamber vacuum: 100 mT  
Hold time: 300 min.
- 25 3. Shelf temp.: 0°C  
Chamber vacuum: 100 mT  
Hold time: 300 min.
4. Shelf temp.: 20°C  
Chamber vacuum: 50 mT  
30 Hold time: 800 min.

**Example 7A**

The following freeze-dried material was reconstituted in deionized water and examined for particle size distribution via the Horiba LA-910 particle analyzer:

5 5.00% (w/w) novel anti-emetic, 5.00% (w/w) dextrose, 1.00% (w/w) Poloxamer 188®,  
0.250% (w/w) PVP C-15, and 88.8% (w/w) Sterile Water for Injection.

The average particle size of the reconstituted nanoparticulate dispersion was 4.23  $\mu\text{m}$ , with 90% of the particles having an average particle size of less than 11.8  $\mu\text{m}$ . The resultant material demonstrates that aggregates were present in the freeze-dried material having suitable particle sizes for pulmonary deposition. See Figure 8, which  
10 shows the particle size distribution of the freeze-dried anti-emetic aerosol. (For this example, the particle sizes were measured by weight.)

**Example 7B**

The following freeze-dried material was reconstituted in deionized water and examined for particle size distribution via the Horiba LA-910 particle analyzer:

15 1.00% (w/w) novel anti-emetic, 5.00% (w/w) mannitol, 0.200% (w/w) Poloxamer 188,  
0.050% (w/w) PVP C-15, and 93.8% (w/w) Sterile Water for Injection.

The resultant powder when reconstituted demonstrated an average particle size of 2.77  $\mu\text{m}$ , with 90% of the drug particles having an average particle size of less  
20 than 7.39  $\mu\text{m}$ . Thus, aggregates of the nanoparticulate anti-emetic have a particle size within an acceptable range for pulmonary deposition after patient inhalation. See Figure 9, which shows the particle size distribution of the freeze-dried anti-emetic aerosol. Also, if larger aggregates are generated (beyond about 5 to about 10  $\mu\text{m}$ ), jet-milling may be employed to decrease the particle size distribution of the system for pulmonary  
25 indications.

All of the dry powder inhalation systems can be utilized in either unit dose or multi-dose delivery devices, in either DPIs or pMDIs, and in nebulizer systems.

**Example 8**

The purpose of this prophetic example is to demonstrate the production of a propellant-based pMDI. This aerosol dosage form for pulmonary deposition has been the most routinely prescribed for asthma indications. The system is pressurized by using a propellant, such as a CFC or HFA (hydrofluorinated alkane), which functions as the delivery medium for a micronized drug. Additionally, a valve lubricant is present. These are typically the only components for suspension-based pMDIs. The micronized drug is jet-milled to the appropriate size for lung deposition (about 3 to about 5  $\mu\text{m}$ ).

In contrast, the present invention is directed to the use of either discrete nanoparticles or aggregates of nanoparticles. For preparation of discrete nanoparticulate drug, a non-aqueous milling medium is used, comprised of a high boiling point propellant. By employing a CFC-11 or trichloromonofluoromethane milling medium, nanoparticulate drug with suitable modifier can be made in a non-pressurized milling system. For example, the boiling point of CFC-11 is 23.7°C (according to the Merck Index). Thus, by maintaining the milling chamber temperature below 23.7°C, the CFC-11 remains intact during the size reduction process without developing internal pressure.

After the size reduction process, the propellant can be evaporated and reclaimed in a condenser. The resultant powder of nanoparticulate drug and surface modifier can then be resuspended in non-CFC propellants. Compounds HFA-134a (tetrafluoroethane) and HFA-227 (heptafluoropropane) (Solvay Fluorides, Inc., Greenwich, CT; Dupont Fluorochemicals, Wilmington, DE) are the most widely recognized non-CFC propellants. These can be pressure-filled into canisters containing the nanoparticulate drug and surface modifier.

**Example 8A**

The purpose of this example was to prepare a nanoparticulate aerosol formulation in a non-aqueous, non-pressurized milling system.

The following material was subjected to milling for 1.5 hrs with SDy-20 500  $\mu\text{m}$  polymeric media: 5.00% (w/w) triamcinolone acetonide (TA), 0.500% (w/w) Span 85® (surface modifier), and 94.5% (w/w) CFC-11. The resultant dispersion was



then harvested and the propellant evaporated. A scanning electron micrograph was taken of the resultant powder to inspect for size reduction of the drug crystals. See Figure 10. Significant size reduction of drug particles was observed, and a large population of smaller drug crystals was found to be present. This material is of sufficient size to be  
5 respirable for inhaled administration via a pMDI or DPI system.

An exemplary corticosteroid formulation can comprise the following:  
0.066% (w/w) nanoparticulate TA, 0.034% (w/w) Span 85, and 99.9% HFA-134a.  
Assuming a product density of 1.21 g/ml and a 50 µl metering valve, a theoretical  
delivery of 40 µg TA is achieved. If necessary, this quantity can be modified to  
10 compensate for actuator efficiency. Ideally, the nanoparticulate powder can be dispensed into an appropriate container, followed by pressurized propellant filling, or a bulk slurry can be prepared and introduced into the final form by cold filling or pressure filling.

#### Example 9

15 The purpose of this example was to describe the use of a nanoparticulate aerosol in a propellant system operating at pressurized conditions. A pressurized system allows the processing to progress at ambient room temperature.

The milling is conducted using either ball milling with ceramic/glass media or high-energy Dyno-milling with modifications to contain approximately 100  
20 psig. The intent is to load the unit with chilled propellant and seal the sample ports. Thus, if the mill or roller bottle is at room temperature, the propellant will vaporize to maintain equilibrium within the containment system. A balance will be made between propellant in a liquid state and in a vapor state. This allows for milling in a liquid medium (the propellant) at temperatures above the propellant's boiling point.

25 Exemplary useful non-chlorinated propellants include HFA-134a (tetrafluoroethane), comprising about 50 to about 99.9% of final product weight, milling within pressure at/below 100 psig, and temperatures at/below 25°C; and HFA-227 (heptafluoropropane), comprising about 50 to about 99.9% of final product weight, milling within pressure at/below 53 psig, and temperatures at/below 25°C. In addition,  
30 chlorinated propellants can be used in this embodiment. Exemplary chlorinated

propellants include Freon-12 (dichlorodifluoromethane), comprising about 50 to about 99.9% of milling composition, processed within pressure at/below 85 psig, and temperatures at/below 25°C; and Freon-114 (dichlorotetrafluoroethane), comprising about 50 to about 99.9% of milling slurry, processed at pressure at/below 19 psig, and temperatures at/below 25°.

#### **Example 9A**

In this prophetic example, the following compounds can be combined for an exemplary budesonide aerosol composition to be used in a propellant system operating at pressurized conditions: 5.00% (w/w) budesonide, 0.500% PVP C-15, and 94.5% (w/w) HFA-134a.

The nanoparticulate aerosol composition would be further diluted as necessary to obtain desired delivery doses.

#### **Example 9B**

In this prophetic example, the following compounds can be combined for an exemplary TA aerosol composition to be used in a propellant system operating at pressurized conditions: 5.00% (w/w) TA, 0.500% PEG-400, and 94.5% (w/w) HFA-227.

The nanoparticulate aerosol composition would be further diluted as necessary to obtain desired delivery doses.

#### **Example 10**

The purpose of this example was to demonstrate the use of powders comprising spray-dried or freeze-dried nanoparticulate aggregates or discrete nanoparticulate particles in propellant systems for inhalation. The MMAD of the nanoparticulate aggregates would be about 0.5  $\mu\text{m}$  to about 6.0  $\mu\text{m}$ , and the mean particle diameter of the discrete nanoparticulate drug particles would be about <1000 nm. This allows for aqueous milling and subsequent water removal. The remaining powder can then be reconstituted with a propellant, such as those listed above.

The following can be combined for use in a propellant based system for inhalation: 0.704% (w/w) nanoparticulate agent/surface modifier and 99.3% (w/w) HFA-227. The resultant nanoparticulate powder is a spray-dried aggregate with a MMAD of 2.0  $\mu\text{m}$ . Based on a theoretical product density of 1.42 g/ml and a metering valve of 100  $\mu\text{l}$ , a dose of 1000  $\mu\text{g}$  could be expected through-the-valve.

\* \* \* \*

It will be apparent to those skilled in the art that various modifications and variations can be made in the methods and compositions of the present invention without departing from the spirit or scope of the invention. Thus, it is intended that the present invention cover the modifications and variations of this invention provided they come within the scope of the appended claims and their equivalents.

We claim:

1. An aerosol composition of an aqueous dispersion of nanoparticulate drug particles, wherein:

- (a) essentially each droplet of the aerosol comprises at least one nanoparticulate drug particle;
- (b) the droplets are of a respirable size; and
- (c) the nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof.

2. The aerosol composition of claim 1, wherein the drug is selected from the group consisting of proteins, peptide, bronchodilators, corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, respiratory illness therapies associated with acquired immune deficiency syndrome, an oncology drug, an anti-emetic, an analgesic, and a cardiovascular agent.

3. The aerosol composition of claim 1, wherein the nanoparticulate drug particles have an effective average particle size selected from the group consisting of less than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, and less than about 50 nm.

4. The aerosol composition of claim 1, wherein the aerosol comprises a concentration of a drug in an amount of from about 0.05 mg/mL up to about 600 mg/mL.

5. The aerosol composition of claim 4, wherein the aerosol comprises a concentration of a drug selected from the group consisting of about 10 mg/mL or more,

about 100 mg/mL or more, about 200 mg/mL or more, about 400 mg/mL or more, and about 600 mg/mL.

6. The aerosol composition of claim 1, wherein the droplets of the aerosol  
5 have a MMAD of about 2 to about 10 microns.

7. The aerosol composition of claim 6, wherein the droplets of the aerosol have a MMAD of from about 2 to about 6 microns.

10 8. The aerosol composition of claim 1, wherein the droplets of the aerosol have a MMAD of less than about 2 microns.

9. The aerosol composition of claim 1, wherein the droplets of the aerosol have an MMAD of about 5 to about 100 microns .

15

10. The aerosol composition of claim 9, wherein the droplets of the aerosol have an MMAD of about 30 to about 60 microns.

11. A spray-dried powder aerosol composition comprising aggregates of  
20 nanoparticulate drug particles, wherein:

- (a) the nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof; and
- (b) the aggregates of spray-dried drug particles have a respirable size.

25

12. The aerosol composition of claim 11 further comprising a diluent.

13. The aerosol composition of claim 12, wherein essentially every diluent particle comprises at least one embedded nanoparticulate drug particle having a surface  
30 modifier adhered to the surface of the drug particle.

14. The aerosol composition of claim 11, wherein the drug is selected from the group consisting of proteins, peptides, bronchodilators, corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, and respiratory illness therapies associated with acquired immune deficiency syndrome, an oncology drug, an anti-emetic, an analgesic, and a cardiovascular agent.

15. The aerosol composition of claim 11, wherein the nanoparticulate drug particles have an effective average particle size selected from the group consisting of less than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, and less than about 50 nm.

16. The aerosol composition of claim 11, wherein the aerosol comprises a concentration of a drug in an amount of from about 0.05 mg/g up to about 900 mg/g.

17. The aerosol composition of claim 16, wherein the aerosol comprises a concentration of a drug selected from the group consisting of about 10 mg/g or more, about 100 mg/g or more, about 200 mg/g or more, about 400 mg/g or more, about 600 mg/g or more, and about 900 mg/g.

18. The aerosol composition of claim 11, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 2 to about 10 microns.

19. The aerosol composition of claim 18, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 2 to about 6 microns.

20. The aerosol composition of claim 11, wherein the aggregates of the nanoparticulate drug particles have a MMAD of less than about 2 microns.

21. The aerosol composition of claim 11, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 5 to about 100  $\mu\text{m}$ .

22. The aerosol composition of claim 21, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 30 to about 60  $\mu\text{m}$ .

23. A freeze-dried powder aerosol composition comprising aggregates of nanoparticulate drug particles, wherein:

- (a) the aggregates of freeze-dried drug particles have a respirable particle size; and
- (b) the nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof.

24. The aerosol composition of claim 23, further comprising a diluent.

25. The aerosol composition of claim 23, wherein the drug is selected from the group consisting of proteins, peptides, bronchodilators, corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, and respiratory illness therapies associated with acquired immune deficiency syndrome, an oncology drug, an anti-emetic, an analgesic, and a cardiovascular agent.

26. The aerosol composition of claim 23, wherein the nanoparticulate drug particles have an effective average particle size selected from the group consisting of less

than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, and less than about 50 nm.

27. The aerosol composition of claim 23, wherein the aerosol comprises a concentration of a drug in an amount of from about 0.05 mg/g up to about 900 mg/g.

28. The aerosol composition of claim 27, wherein the aerosol comprises a concentration of a drug selected from the group consisting of about 10 mg/g or more, about 100 mg/g or more, about 200 mg/g or more, about 400 mg/g or more, about 600 mg/g or more, and about 900 mg/g.

29. The aerosol composition of claim 23, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 2 to about 10 microns.

30. The aerosol composition of claim 29, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 2 to about 6 microns.

31. The aerosol composition of claim 23, wherein the aggregates of the nanoparticulate drug particles have a MMAD of less than about 2 microns.

32. The aerosol composition of claim 23, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 5 to about 100  $\mu\text{m}$ .

33. The aerosol composition of claim 32, wherein the aggregates of the nanoparticulate drug particles have a MMAD of about 30 to about 60  $\mu\text{m}$ .

34. The aerosol composition of claim 23, further comprising spray-dried nanoparticulate drug powder, wherein the drug of the freeze-dried nanoparticulate drug powder is either the same or different from the drug of the spray-dried nanoparticulate drug powder.



35. A dry powder nanoparticulate aerosol composition for use in a propellant-based pMDI comprising

- 5 (a) aggregates of a nanoparticulate poorly soluble crystalline drug, wherein the drug has a surface modifier adsorbed on the surface thereof, and the drug has an effective average particle size of less than about 1000 nm, wherein the aggregates have a respirable size, and
- (b) a non-aqueous propellant.

10 36. The aerosol composition of claim 35, wherein the propellant is a non-CFC propellant.

37. A nanoparticulate aerosol composition for use in a propellant-based pMDI comprising

- 15 (a) a nanoparticulate poorly soluble crystalline drug, wherein the drug has a surface modifier adsorbed on the surface thereof, and the drug has an effective average particle size of less than about 1000 nm, and
- (b) a non-aqueous propellant.

20 38. The aerosol composition of claim 37, wherein the propellant is a non-CFC propellant.

39. A method of making an aerosol of an aqueous dispersion of nanoparticulate drug particles, wherein said nanoparticulate drug particles comprise a

25 poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof; wherein the method comprises:

- (a) providing an aqueous dispersion of said nanoparticulate drug particles; and

- (b) nebulizing said dispersion to form an aerosol, wherein essentially each droplet of the aerosol comprises at least one nanoparticulate drug particle and a surface modifier, and wherein the droplets are of a respirable size.

5           40.    A method of making a dry powder nanoparticulate drug composition comprising:

- 10           (a)   forming an aqueous nanoparticulate dispersion of a poorly soluble drug, wherein the dispersion comprises crystalline drug particles and a surface modifier adsorbed on the surface thereof, wherein the drug particles have an effective average particle size of less than about 1000 nm;
- (b)   spray-drying the nanoparticulate dispersion to form a dry powder of aggregates of the nanoparticulate drug and surface modifier particles, wherein the aggregates are of a respirable size.

15           41.    The method of claim 40, further comprising adding a diluent to the nanoparticulate dispersion prior to spray-drying, wherein following spray-drying essentially every diluent particle contains at least one embedded drug particle and a surface modifier.

20           42.    A method of making a dry powder nanoparticulate drug aerosol formulation comprising:

- 25           (a)   milling under non-pressurized conditions a poorly soluble crystalline drug and a surface modifier in a non-aqueous medium having a high boiling point to obtain a nanoparticulate drug composition having an effective average particle size of less than about 1000 nm, and
- (b)   evaporating the non-aqueous medium to obtain a dry powder of aggregates of drug and surface modifier particles, wherein the aggregates are of a respirable size.

43. A method of making a nanoparticulate drug aerosol formulation comprising:

- (a) milling under pressurized conditions a poorly soluble crystalline drug and a surface modifier in a non-aqueous medium; and
- (b) evaporating the non-aqueous medium to obtain a dry powder of aggregates of drug and surface modifier particles, wherein the aggregates are of a respirable size.

44. A method of making a dry powder nanoparticulate drug composition comprising:

- (a) forming an aqueous nanoparticulate dispersion of a poorly soluble drug, wherein the dispersion comprises crystalline drug particles and a surface modifier adsorbed on the surface thereof, wherein the drug particles have an effective average particle size of less than about 1000 nm;
- (b) freeze-drying the nanoparticulate dispersion to form a dry powder of aggregates of the nanoparticulate drug and surface modifier particles, wherein the aggregates are of a respirable size.

45. The method of claim 44, further comprising adding a diluent to the nanoparticulate dispersion prior to freeze-drying, wherein following freeze-drying essentially every diluent particle contains at least one embedded drug particle and a surface modifier.

46. A method of administering the aerosol of claim 1 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/mL or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

47. A method of administering the aerosol of claim 11 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

48. A method of administering the aerosol of claim 23 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

5

49. A method of administering the aerosol of claim 35 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

10

50. A method of administering the aerosol of claim 37 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

## AMENDED CLAIMS

[received by the International Bureau on 10 May 2000 (10.05.00);  
original claims 1, 6-11, 18-23, 29-33, 35-37, 39, 40 and 42-44 amended;  
remaining claims unchanged (9 pages)]

1. An aerosol composition of an aqueous dispersion of nanoparticulate drug particles, wherein:
  - (a) essentially each droplet of the aerosol comprises at least one nanoparticulate drug particle;
  - (b) the droplets of the aerosol are less than or equal to about 100 microns in diameter; and
  - (c) the nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof.
2. The aerosol composition of claim 1, wherein the drug is selected from the group consisting of proteins, peptide, bronchodilators, corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, respiratory illness therapies associated with acquired immune deficiency syndrome, an oncology drug, an anti-emetic, an analgesic, and a cardiovascular agent.
3. The aerosol composition of claim 1, wherein the nanoparticulate drug particles have an effective average particle size selected from the group consisting of less than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, and less than about 50 nm.
4. The aerosol composition of claim 1, wherein the aerosol comprises a concentration of a drug in an amount of from about 0.05 mg/mL up to about 600 mg/mL.
5. The aerosol composition of claim 4, wherein the aerosol comprises a concentration of a drug selected from the group consisting of about 10 mg/mL or more, about

100 mg/mL or more, about 200 mg/mL or more, about 400 mg/mL or more, and about 600 mg/mL.

6. The aerosol composition of claim 1, wherein the droplets of the aerosol have a mass median aerodynamic diameter of about 2 to about 10 microns.

7. The aerosol composition of claim 6, wherein the droplets of the aerosol have a mass median aerodynamic diameter of from about 2 to about 6 microns.

8. The aerosol composition of claim 1, wherein the droplets of the aerosol have a mass median aerodynamic diameter of less than about 2 microns.

9. The aerosol composition of claim 1, wherein the droplets of the aerosol have an mass median aerodynamic diameter of about 5 to about 100 microns .

10. The aerosol composition of claim 9, wherein the droplets of the aerosol have an mass median aerodynamic diameter of about 30 to about 60 microns.

11. A spray-dried powder aerosol composition comprising aggregates of nanoparticulate drug particles, wherein:

- (a) the nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof; and
- (b) the aggregates of spray-dried drug particles are less than or equal to about 100 microns in diameter.

12. The aerosol composition of claim 11 further comprising a diluent.

13. The aerosol composition of claim 12, wherein essentially every diluent particle comprises at least one embedded nanoparticulate drug particle having a surface modifier adhered to the surface of the drug particle.

14. The aerosol composition of claim 11, wherein the drug is selected from the group consisting of proteins, peptides, bronchodilators, corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, and respiratory illness therapies associated with acquired immune deficiency syndrome, an oncology drug, an anti-emetic, an analgesic, and a cardiovascular agent.

15. The aerosol composition of claim 11, wherein the nanoparticulate drug particles have an effective average particle size selected from the group consisting of less than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, and less than about 50 nm.

16. The aerosol composition of claim 11, wherein the aerosol comprises a concentration of a drug in an amount of from about 0.05 mg/g up to about 900 mg/g.

17. The aerosol composition of claim 16, wherein the aerosol comprises a concentration of a drug selected from the group consisting of about 10 mg/g or more, about 100 mg/g or more, about 200 mg/g or more, about 400 mg/g or more, about 600 mg/g or more, and about 900 mg/g.

18. The aerosol composition of claim 11, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 2 to about 10 microns.

19. The aerosol composition of claim 18, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 2 to about 6 microns.

20. The aerosol composition of claim 11, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of less than about 2 microns.

21. The aerosol composition of claim 11, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 5 to about 100  $\mu\text{m}$ .

22. The aerosol composition of claim 21, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 30 to about 60  $\mu\text{m}$ .

23. A freeze-dried powder aerosol composition comprising aggregates of nanoparticulate drug particles, wherein:

- (a) the aggregates of freeze-dried drug particles are less than or equal to about 100 microns in diameter,
- (b) the nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof.

24. The aerosol composition of claim 23, further comprising a diluent.

25. The aerosol composition of claim 23, wherein the drug is selected from the group consisting of proteins, peptides, bronchodilators, corticosteroids, elastase inhibitors, analgesics, anti-fungals, cystic-fibrosis therapies, asthma therapies, emphysema therapies, respiratory distress syndrome therapies, chronic bronchitis therapies, chronic obstructive



pulmonary disease therapies, organ-transplant rejection therapies, therapies for tuberculosis and other infections of the lung, fungal infection therapies, and respiratory illness therapies associated with acquired immune deficiency syndrome, an oncology drug, an anti-emetic, an analgesic, and a cardiovascular agent.

26. The aerosol composition of claim 23, wherein the nanoparticulate drug particles have an effective average particle size selected from the group consisting of less than about 400 nm, less than about 300 nm, less than about 250 nm, less than about 100 nm, and less than about 50 nm.

27. The aerosol composition of claim 23, wherein the aerosol comprises a concentration of a drug in an amount of from about 0.05 mg/g up to about 900 mg/g.

28. The aerosol composition of claim 27, wherein the aerosol comprises a concentration of a drug selected from the group consisting of about 10 mg/g or more, about 100 mg/g or more, about 200 mg/g or more, about 400 mg/g or more, about 600 mg/g or more, and about 900 mg/g.

29. The aerosol composition of claim 23, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 2 to about 10 microns.

30. The aerosol composition of claim 29, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 2 to about 6 microns.

31. The aerosol composition of claim 23, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of less than about 2 microns.

32. The aerosol composition of claim 23, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 5 to about 100  $\mu\text{m}$ .

33. The aerosol composition of claim 32, wherein the aggregates of the nanoparticulate drug particles have a mass median aerodynamic diameter of about 30 to about 60  $\mu\text{m}$ .

34. The aerosol composition of claim 23, further comprising spray-dried nanoparticulate drug powder, wherein the drug of the freeze-dried nanoparticulate drug powder is either the same or different from the drug of the spray-dried nanoparticulate drug powder.

35. A dry powder nanoparticulate aerosol composition for use in a propellant-based pMDI comprising:

- (a) aggregates of a nanoparticulate poorly soluble crystalline drug, wherein the drug has a surface modifier adsorbed on the surface thereof, and the drug has an effective average particle size of less than about 1000 nm, wherein the aggregates are less than or equal to about 100 microns in diameter, and
- (b) a non-aqueous propellant.

36. The aerosol composition of claim 35, wherein the propellant is a non-CFC propellant.

37. A nanoparticulate aerosol composition for use in a propellant-based pMDI comprising:

- (a) a nanoparticulate poorly soluble crystalline drug, wherein the drug has a surface modifier adsorbed on the surface thereof, and the drug has an effective average particle size of less than about 1000 nm,
- (b) essentially each droplet of the aerosol comprises at least one nanoparticulate

drug particle, wherein the droplets of the aerosol generated by the pMDI have a diameter of less than or equal to about 100 microns, and

- (c) a non-aqueous propellant.

38. The aerosol composition of claim 38, wherein the propellant is a non-CFC propellant.

39. A method of making an aerosol of an aqueous dispersion of nanoparticulate drug particles, wherein said nanoparticulate drug particles comprise a poorly soluble crystalline drug, have an effective average particle size of less than about 1000 nm, and have a surface modifier adsorbed on the surface thereof, wherein the method comprises:

- (a) providing an aqueous dispersion of said nanoparticulate drug particles; and
- (b) nebulizing said dispersion to form an aerosol, wherein essentially each droplet of the aerosol comprises at least one nanoparticulate drug particle and a surface modifier, and wherein the droplets of the aerosol have a diameter of less than or equal to about 100 microns.

40. A method of making a dry powder nanoparticulate drug composition comprising:

- (a) forming an aqueous nanoparticulate dispersion of a poorly soluble drug, wherein the dispersion comprises crystalline drug particles and a surface modifier adsorbed on the surface thereof, wherein the drug particles have an effective average particle size of less than about 1000 nm;
- (b) spray-drying the nanoparticulate dispersion to form a dry powder of aggregates of the nanoparticulate drug and surface modifier particles, wherein the aggregates have a diameter of less than or equal to about 100 microns.

41. The method of claim 40, further comprising adding a diluent to the nanoparticulate dispersion prior to spray-drying, wherein following spray-drying essentially every diluent particle contains at least one embedded drug particle and a surface modifier.
42. A method of making a dry powder nanoparticulate drug aerosol formulation comprising:
- (a) milling under non-pressurized conditions a poorly soluble crystalline drug and a surface modifier in a non-aqueous medium having a high boiling point to obtain a nanoparticulate drug composition having an effective average particle size of less than about 1000 nm, and
  - (b) evaporating the non-aqueous medium to obtain a dry powder of aggregates of drug and surface modifier particles, wherein the aggregates have a diameter of less than or equal to about 100 microns.
43. A method of making a nanoparticulate drug aerosol formulation comprising:
- (a) milling under pressurized conditions a poorly soluble crystalline drug and a surface modifier in a non-aqueous medium; and
  - (b) evaporating the non-aqueous medium to obtain a dry powder of aggregates of drug and surface modifier particles, wherein the aggregates have a diameter of less than or equal to about 100 microns.
44. A method of making a dry powder nanoparticulate drug composition comprising:
- (a) forming an aqueous nanoparticulate dispersion of a poorly soluble drug, wherein the dispersion comprises crystalline drug particles and a surface modifier adsorbed on the surface thereof, wherein the drug particles have an effective average particle size of less than about 1000 nm;
  - (b) freeze-drying the nanoparticulate dispersion to form a dry powder of aggregates of the nanoparticulate drug and surface modifier particles, wherein the aggregates have a diameter of less than or equal to about 100 microns.

45. The method of claim 44, further comprising adding a diluent to the nanoparticulate dispersion prior to freeze-drying, wherein following freeze-drying essentially every diluent particle contains at least one embedded drug particle and a surface modifier.

46. A method of administering the aerosol of claim 1 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/mL or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

47. A method of administering the aerosol of claim 11 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

48. A method of administering the aerosol of claim 23 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

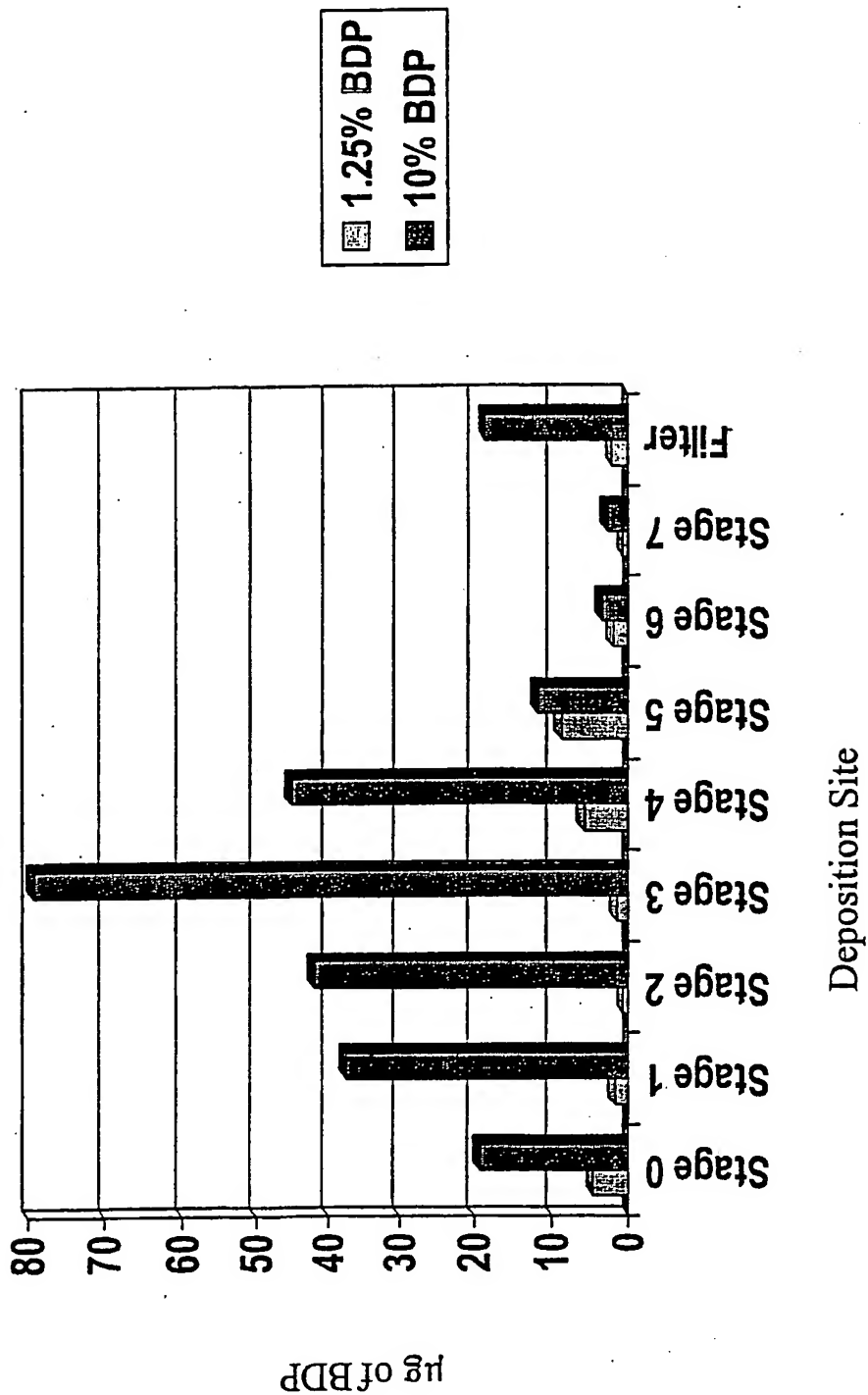
49. A method of administering the aerosol of claim 35 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

50. A method of administering the aerosol of claim 37 to a patient, wherein the aerosol comprises drug at a concentration of 10 mg/g or greater, and wherein the patient delivery time for the aerosol administration is about 15 seconds or less.

1/10

# FIGURE 1

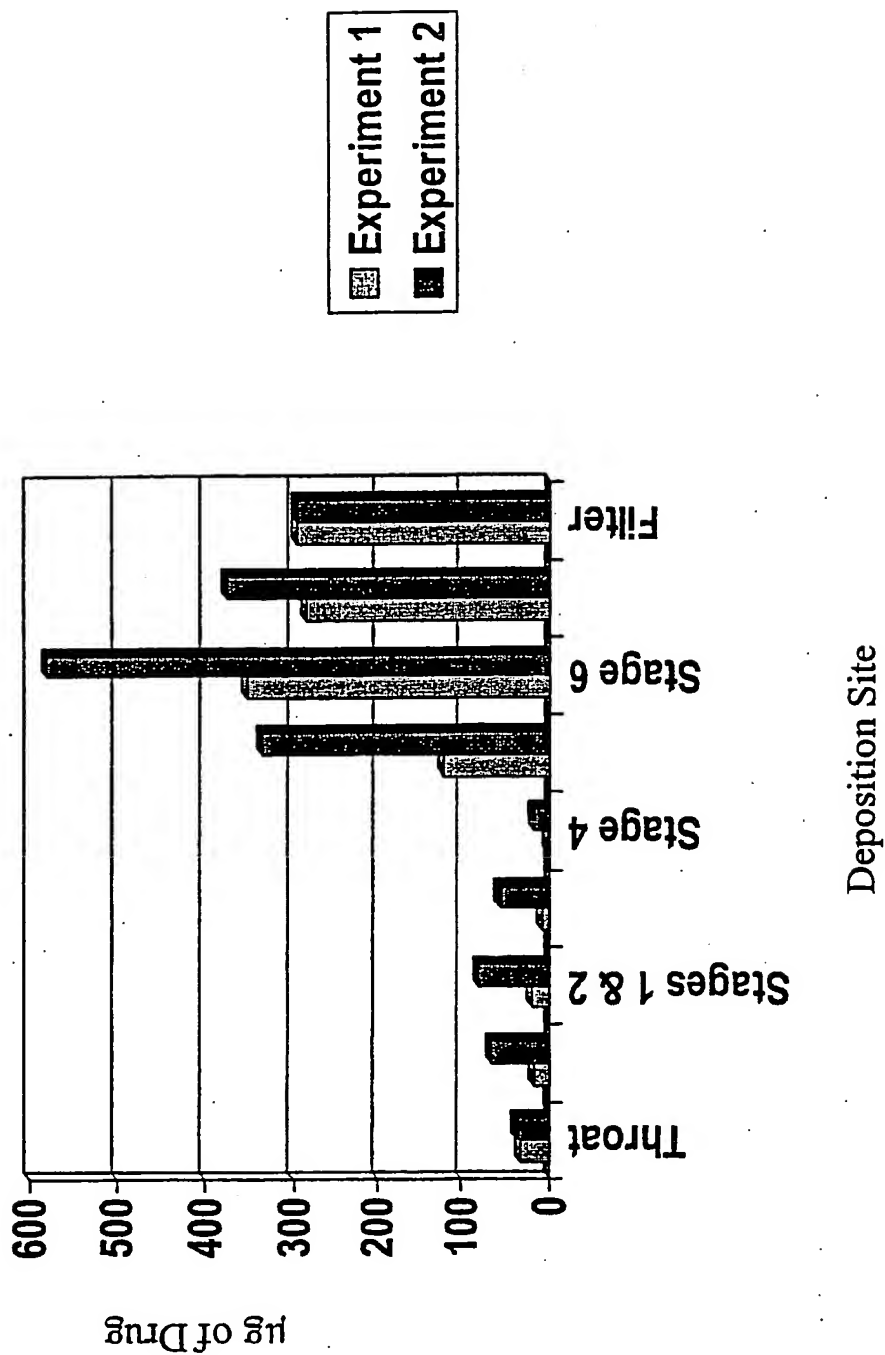
## *In Vitro* Deposition Pattern of Aerosolized BDP Dispersions



2/10

# FIGURE 2

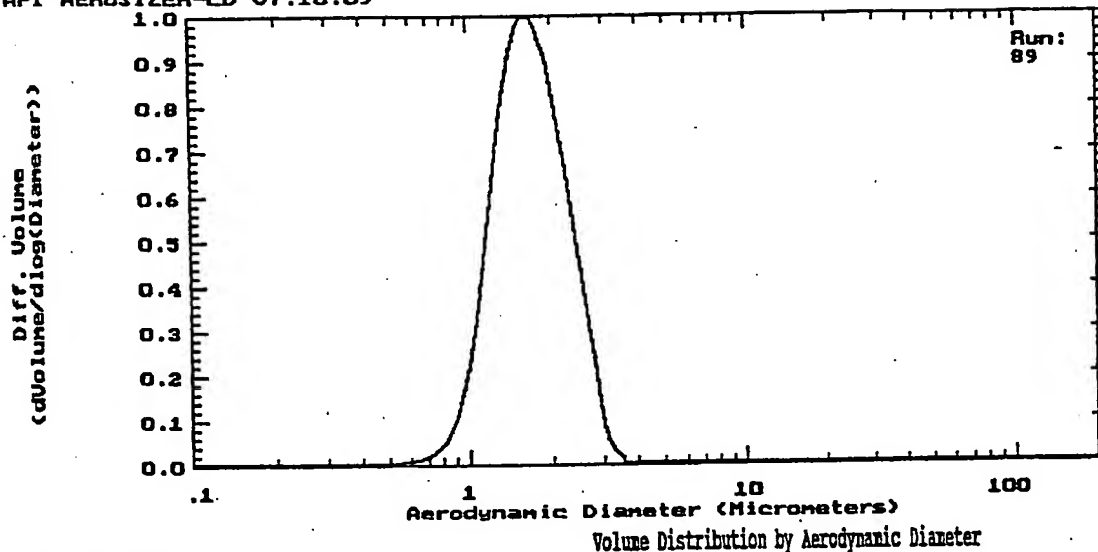
## *In Vitro* Deposition Pattern of Nanoparticulate BDP Suspensions



3/10

## FIGURE 3

API AEROSIZER-LD U7.10.09



SPRAY-DRIED NAPROXEN

STATISTICS		PARAMETERS	% UNDER	SIZE	% UNDER	SIZE
Mean Size	: 1.671	Material	: 10%	1.157	90%	2.432
Standard Deviation	: 1.334	Density	: 50%	1.675		
D(4,3)	: 1.740	Run Length (sec)				
D(3,2)	: 1.602	PMT Voltage				
Mode (Log Scale)	: 1.65	Sum of channels				
Specific Surface Area	: 2.97 sq meter/g	Lower Size Limit				
		Upper Size Limit				
		Nozzle Type				
		Baseline Offset				
		Noise Filter				
		Regularization				

UPPER SIZE	% IN	LOWER SIZE	% UNDER	UPPER SIZE	% IN	LOWER SIZE	% UNDER
				1.00	2.4683	0.86	1.2857
				0.86	0.8394	0.74	0.4463
				0.74	0.3050	0.63	0.1413
				0.63	0.1042	0.54	0.0371
				0.54	0.0333	0.46	0.0038
				0.46	0.0036	0.40	0.0003
				0.40	0.0003	0.34	0.0000
4.60	0.0000	4.00	100.00				
4.00	0.1153	3.40	99.885				
3.40	1.7044	2.90	98.180				
2.90	6.4095	2.50	91.771				
2.50	9.8151	2.20	81.956				
2.20	22.597	1.80	59.359				
1.80	15.436	1.60	43.923				
1.60	16.757	1.40	27.166				
1.40	14.681	1.20	12.484				
1.20	8.7303	1.00	3.7540				



4/10

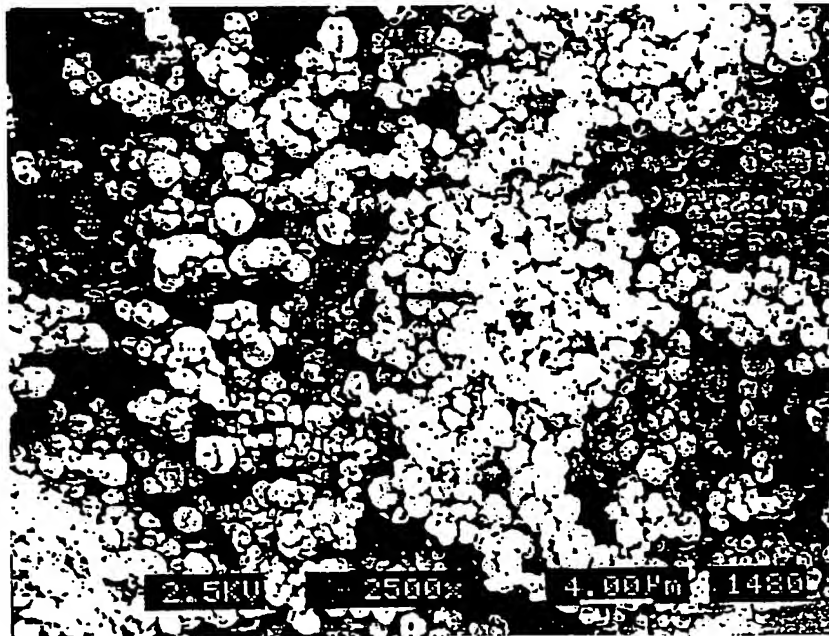
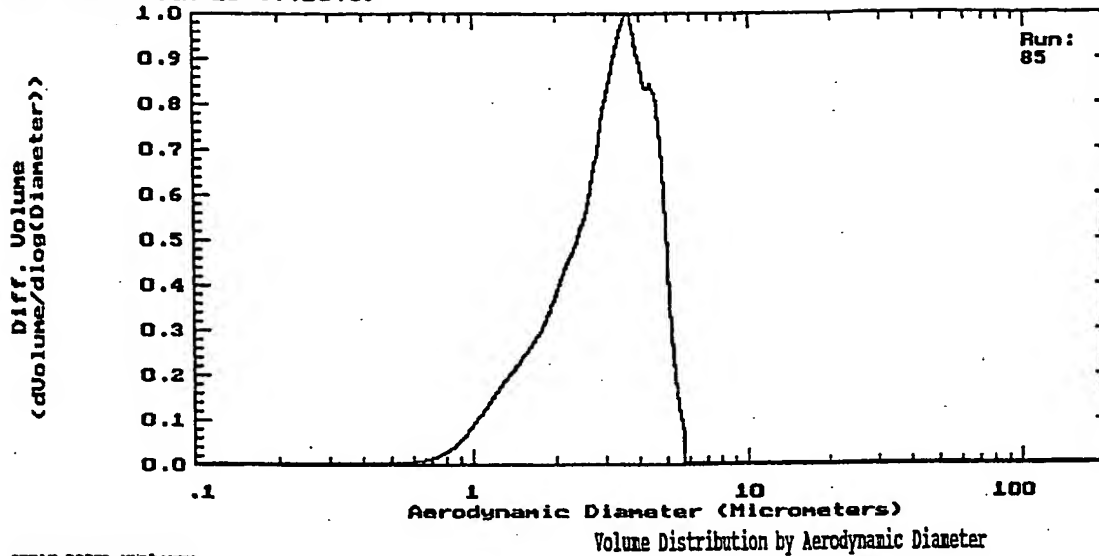


FIG. 4

5/10

# FIGURE 5

API AEROSIZER-LD U7.10.09



SPRAY-DRIED NAPROXEN

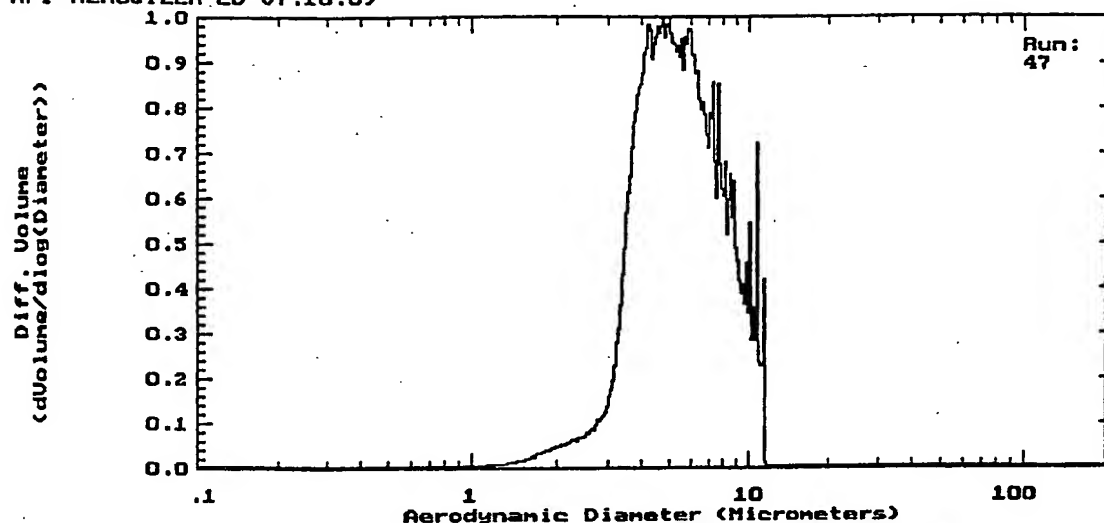
STATISTICS		PARAMETERS	%UNDER	SIZE	%UNDER	SIZE	
Mean Size	: 2.906	Material	: SDI-naproxen	10%	1.554	90%	4.651
Standard Deviation	: 1.524	Density	: 1.26	50%	3.183		
D(4,3)	: 3.142	Run Length (sec)	: 108.9				
D(3,2)	: 2.632	PMT Voltage	: 1100.0				
Mode (Log Scale)	: 3.66	Sum of channels	: 13520				
Specific Surface Area	: 1.81 sq meter/g	Lower Size Limit	: 0.10				
		Upper Size Limit	: 200.00				
		Nozzle Type	: 700um				
		Baseline Offset	: 0.10				
		Noise Filter	: 6.00				
		Regularization	: Low				

UPPER SIZE	% IN	LOWER SIZE	% UNDER	UPPER SIZE	% IN	LOWER SIZE	% UNDER
				1.00	1.0101	0.86	0.6844
				0.86	0.4335	0.74	0.2509
7.40	0.0000	6.30	100.00	0.74	0.1785	0.63	0.0723
6.30	1.1614	5.40	98.839	0.63	0.0548	0.54	0.0175
5.40	9.8645	4.60	88.974	0.54	0.0153	0.46	0.0021
4.60	13.706	4.00	75.268	0.46	0.0020	0.40	0.0001
4.00	18.274	3.40	56.994	0.40	0.0001	0.34	0.0000
3.40	15.715	2.90	41.278				
2.90	10.821	2.50	30.457				
2.50	7.2247	2.20	23.232				
2.20	8.6259	1.80	14.606				
1.80	3.7744	1.60	10.832				
1.60	3.5448	1.40	7.2871				
1.40	3.1759	1.20	4.1112				
1.20	2.4167	1.00	1.6945				

6/10

# FIGURE 6

API AEROSIZER-LD U7.10.09



spray dried ta.

Volume Distribution by Aerodynamic Diameter

STATISTICS		PARAMETERS		%UNDER	SIZE	%UNDER	SIZE
Mean Size	: 5.540	Material	: SDI-naproxen	10%	3.600	90%	9.082
Standard Deviation	: 1.455	Density	: 1.26	50%	5.516		
D(4,3)	: 5.924	Run Length (sec)	: 189.6				
D(3,2)	: 5.146	PMF Voltage	: 1100.0				
Mode (log Scale)	: 4.82	Sum of channels	: 100494				
Specific Surface Area	: 0.93 sq meter/g	Lower Size Limit	: 0.10				
		Upper Size Limit	: 200.00				
		Nozzle Type	: 700um				
		Baseline Offset	: 0.10				
		Noise Filter	: 6.00				
		Regularization	: Off				

UPPER SIZE	% IN	LOWER SIZE	% UNDER	UPPER SIZE	% IN	LOWER SIZE	% UNDER	UPPER SIZE	% IN	LOWER SIZE	% UNDER
				10.0	7.5026	8.60	86.677	1.00	0.0237	0.86	0.0213
				8.60	10.326	7.40	76.352	0.86	0.0121	0.74	0.0092
				7.40	13.417	6.30	62.935	0.74	0.0064	0.63	0.0028
				6.30	14.999	5.40	47.935	0.63	0.0021	0.54	0.0006
				5.40	16.094	4.60	31.841	0.54	0.0006	0.46	0.0001
				4.60	13.547	4.00	18.295	0.46	0.0001	0.40	0.0000
				4.00	11.255	3.40	7.0394				
				3.40	3.2799	2.90	3.7595				
				2.90	1.3355	2.50	2.4240				
				2.50	0.8131	2.20	1.6109				
				2.20	0.8995	1.80	0.7114				
				1.80	0.3128	1.60	0.3985				
				1.60	0.1861	1.40	0.2125				
				1.40	0.1061	1.20	0.1063				
				1.20	0.0613	1.00	0.0450				
14.0	0.0000	12.0	100.00								
12.0	5.8201	10.0	94.180								

BEST AVAILABLE COPY  
7/10

BEST AVAILABLE COPY

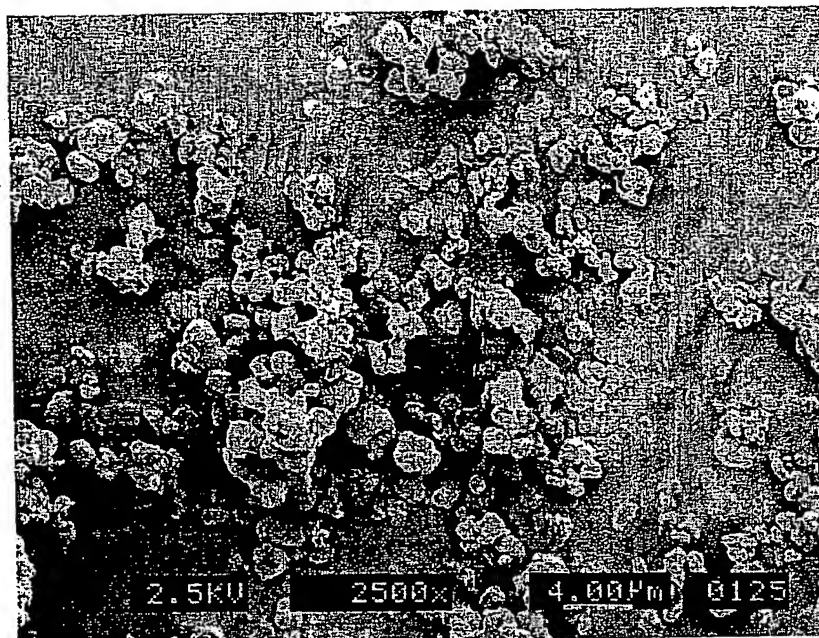


FIG. 7A

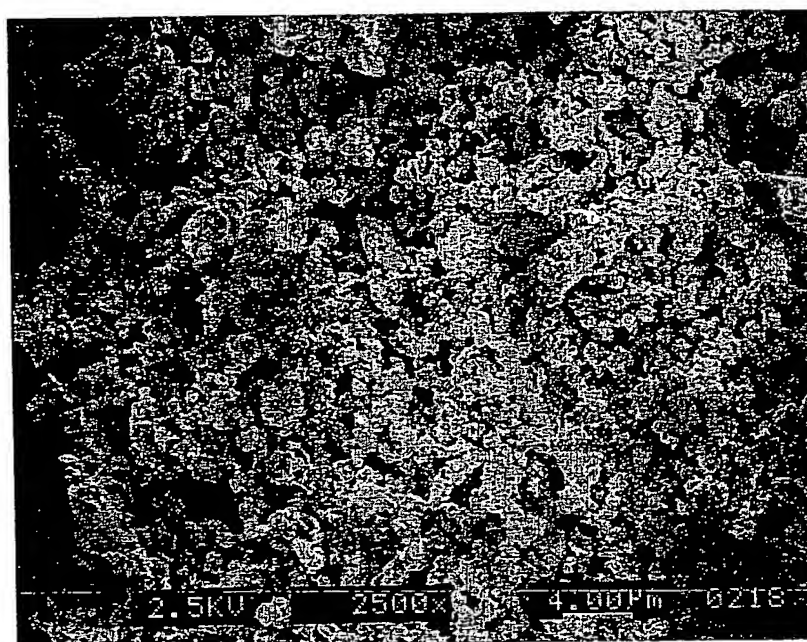


FIG. 7B

8/10

# FIGURE 8

## HORIBA LA-910

Laser scattering particle size distribution analyzer

### PARTICLE SIZE MEASUREMENT DATA

Material : 5%Dextrose 4-3-98  
 Source : Reconst. water/3d fill  
 Lot Number : In water/1 min. sonication

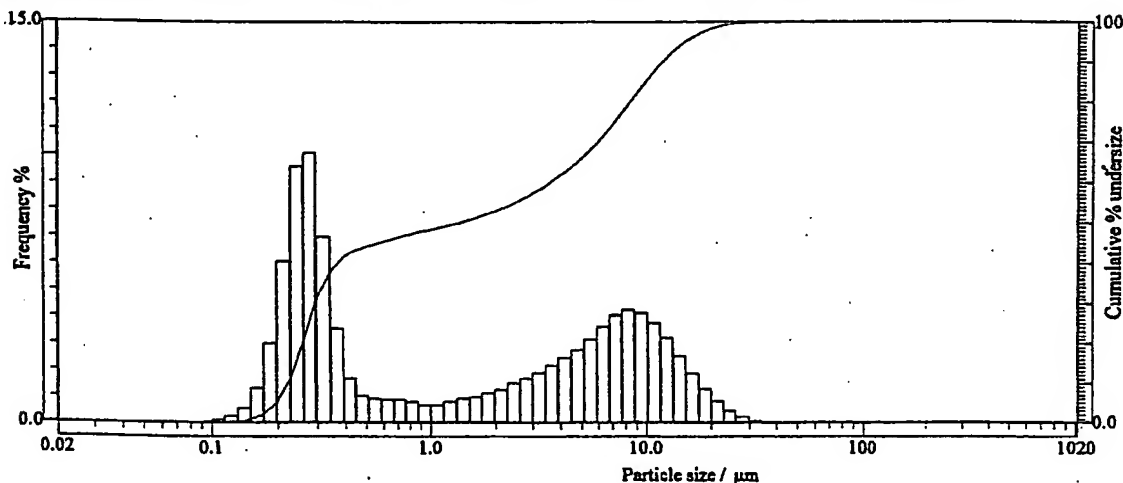
#### Data

Freeze-dried

Median : 1.355 $\mu$ m SP.Area: 114884cm<sup>2</sup>/cm<sup>3</sup> S.D. : 5.324 $\mu$ m  
 Mode : 0.272 $\mu$ m Mean : 4.225 $\mu$ m  
 C.V. : 126.02%

Span : (D 10.0-D 90.0) / D50 = 8.564

Dia. on %( 90.0%) :	11.822 $\mu$ m	% on Dia.( 0.400 $\mu$ m) :	41.9%
Dia. on %( 50.0%) :	1.355 $\mu$ m	% on Dia.( 0.300 $\mu$ m) :	31.7%
Dia. on %( 95.0%) :	14.996 $\mu$ m	% on Dia.( 0.100 $\mu$ m) :	0.0%
Dia. on %( 80.0%) :	8.384 $\mu$ m	% on Dia.( 0.200 $\mu$ m) :	5.9%
Dia. on %( 70.0%) :	5.949 $\mu$ m	% on Dia.( 1.000 $\mu$ m) :	48.3%



Size(μm)	Freq(%)	Und(%)	Size(μm)	Freq(%)	Und(%)	Size(μm)	Freq(%)	Und(%)
			26.11	0.46	99.66	0.669	0.86	46.02
			22.80	0.81	99.20	0.584	0.90	45.16
			19.90	1.29	98.39	0.510	1.03	44.26
			17.38	1.88	97.10	0.445	1.68	43.23
			15.17	2.54	95.22	0.389	3.56	41.54
			13.25	3.20	92.68	0.339	6.97	37.98
			11.56	3.76	89.48	0.296	10.10	31.01
			10.10	4.15	85.72	0.259	9.61	20.91
			8.816	4.26	81.57	0.226	6.06	11.30
			7.697	4.06	77.32	0.197	2.99	5.23
			6.720	3.63	73.26	0.172	1.31	2.24
			5.867	3.16	69.63	0.150	0.56	0.93
			5.122	2.76	66.47	0.131	0.26	0.37
			4.472	2.46	63.71	0.115	0.11	0.11
			3.905	2.16	61.25	0.100	0.00	0.00
			3.409	1.89	59.10			
			2.976	1.68	57.21			
			2.599	1.49	55.52			
			2.269	1.24	54.03			
			1.981	1.10	52.79			
			1.729	0.97	51.69			
			1.510	0.90	50.72			
			1.318	0.80	49.82			
			1.151	0.67	49.02			
			1.005	0.66	48.35			
34.25	0.11	100.00	0.877	0.81	47.69			
29.91	0.24	99.89	0.766	0.86	46.88			

9/10

# FIGURE 9

## HORIBA LA-910

Laser scattering particle size distribution analyzer

### PARTICLE SIZE MEASUREMENT DATA

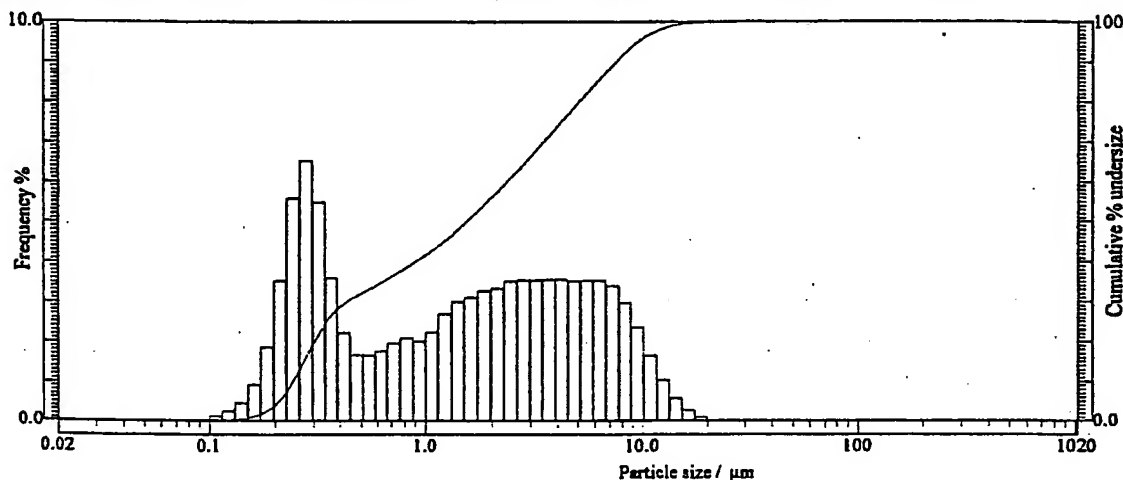
Material : reconst. 1%N9585, 5%Man  
 Source : in water  
 Lot Numbe : 1min sonication

#### Data

Median : 1.533 $\mu$ m SP.Area: 93485cm<sup>2</sup>/cm<sup>3</sup> S.D. : 3.123 $\mu$ m  
 Mode : 0.276 $\mu$ m Mean : 2.767 $\mu$ m  
 C.V. : 112.86%

Span : (D 10.0-D 90.0) / D50 = 4.665

Dia. on %( 90.0%) :	7.392 $\mu$ m	% on Dia.(	0.400 $\mu$ m) :	28.8%
Dia. on %( 50.0%) :	1.533 $\mu$ m	% on Dia.(	0.300 $\mu$ m) :	19.8%
Dia. on %( 95.0%) :	9.348 $\mu$ m	% on Dia.(	0.100 $\mu$ m) :	0.0%
Dia. on %( 80.0%) :	5.011 $\mu$ m	% on Dia.(	0.200 $\mu$ m) :	4.0%
Dia. on %( 70.0%) :	3.416 $\mu$ m	% on Dia.(	1.000 $\mu$ m) :	41.7%



Size( $\mu$ m)	Freq(%)	Und(%)	Size( $\mu$ m)	Freq(%)	Und(%)
19.90	0.11	100.00	0.669	1.75	35.66
17.38	0.27	99.89	0.584	1.65	33.91
15.17	0.57	99.61	0.510	1.67	32.26
13.25	1.04	99.04	0.446	2.22	30.59
11.56	1.66	98.00	0.389	3.60	28.36
10.10	2.35	96.34	0.339	5.49	24.76
8.816	2.98	93.99	0.296	6.54	19.28
7.897	3.38	91.01	0.259	5.59	12.74
6.720	3.53	87.62	0.226	3.52	7.15
5.867	3.52	84.09	0.197	1.87	3.63
5.122	3.51	80.57	0.172	0.93	1.76
4.472	3.55	77.06	0.150	0.46	0.84
3.905	3.56	73.50	0.131	0.25	0.38
3.409	3.53	69.94	0.115	0.13	0.13
2.976	3.54	66.41	0.100	0.00	0.00
2.599	3.51	62.87			
2.269	3.33	59.36			
1.981	3.27	56.03			
1.729	3.10	52.76			
1.510	3.00	49.66			
1.318	2.70	46.66			
1.151	2.24	43.96			
1.005	2.02	41.73			
0.877	2.09	39.71			
0.766	1.95	37.62			

10/10

BEST AVAILABLE COPY

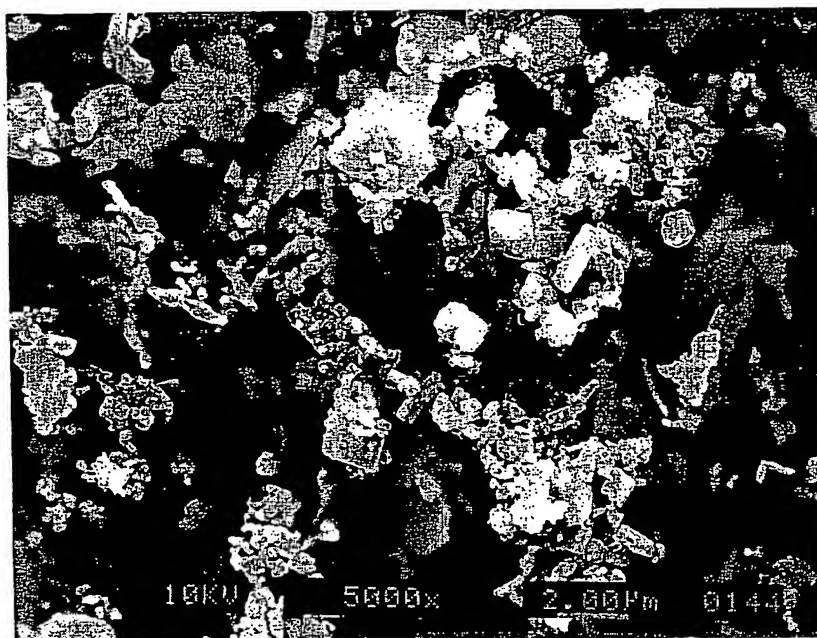


FIG. 10

## INTERNATIONAL SEARCH REPORT

International / cation No

PCT/US 99/26799

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 A61K9/14 A61K9/72

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 25918 A (NANOSYSTEMS L.L.C.) 29 August 1996 (1996-08-29) page 2, line 25 -page 3, line 5 page 3, line 15 -page 5, line 11 page 18 -page 24; example 1	1-10, 39, 46-50
Y	US 5 518 738 A (EICKHOFF ET AL.) 21 May 1996 (1996-05-21)	11-22, 35-38, 43
X	column 6, line 51 -column 7, line 6	40, 41
X	WO 98 35666 A (NANOSYSTEMS LLC) 20 August 1998 (1998-08-20) page 14, line 5 - line 29	40
Y	WO 95 27475 A (ABBOTT LABORATORIES) 19 October 1995 (1995-10-19) page 3, line 10 -page 6, line 5	11-22, 35-38, 43
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance  
 "E" earlier document but published on or after the international filing date  
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
 "O" document referring to an oral disclosure, use, exhibition or other means  
 "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  
 "&" document member of the same patent family

Date of the actual completion of the international search

10 April 2000

Date of mailing of the international search report

18/04/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Benz, K



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/26799

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	<p>WO 99 38493 A (RTP PHARMA INC.)  10 June 1999 (1999-06-10)  page 7, line 5 -page 17</p>	35-38

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 26799

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 46-50 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International / cation No

PCT/US 99/26799

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9625918 A	29-08-1996	AU 4990696 A CA 2213638 A EP 0810853 A	11-09-1996 29-08-1996 10-12-1997
US 5518738 A	21-05-1996	AT 174506 T AU 4900996 A CA 2212779 A DE 69601158 D DE 69601158 T EP 0808156 A ES 2125099 T JP 10513198 T WO 9624339 A	15-01-1999 27-08-1996 15-08-1996 28-01-1999 22-07-1999 26-11-1997 16-02-1999 15-12-1998 15-08-1996
WO 9835666 A	20-08-1998	NONE	
WO 9527475 A	19-10-1995	US 5711934 A CA 2186895 A EP 0755245 A JP 9511661 T US 5687920 A	27-01-1998 19-10-1995 29-01-1997 25-11-1997 18-11-1997
WO 9938493 A	05-08-1999	AU 2024499 A	16-08-1999